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41 Summary Paragraph

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The T cell receptor (TCR) provides the fine specificity of T cells to recognize mutations in cancer 43 cells ¹⁻³. We developed a clinical-grade approach based on CRISPR/Cas9 non-viral precision 44 genome editing to simultaneously knock-out the two endogenous TCR genes, TCRg (TRAC) and 45 46 TCR β (*TRBC*), and insert in the *TRAC* locus the two chains of a neoantigen-specific TCR 47 (neoTCR), isolated from the patient's own circulating T cells using a personalized library of soluble 48 predicted neoantigen-HLA capture reagents. Sixteen patients with refractory solid cancers 49 received up to three distinct neoTCR-transgenic cell products, each expressing a patient-specific 50 neoTCR, in a cell dose-escalation, first-in-human phase 1 clinical trial (NCT03970382). One patient had grade 1 cytokine release syndrome, and one grade 3 encephalitis. All had the 51 52 expected side effects from the lymphodepleting chemotherapy. Five patients had stable disease, and the other 11 had disease progression as best response on therapy. NeoTCR-transgenic T 53 cells were detected in tumour biopsies post-infusion at frequencies higher than the native TCRs 54 pre-infusion. This study demonstrates the feasibility of isolating and cloning multiple TCRs 55 56 recognizing mutational neoantigens, the simultaneous knock-out of the endogenous TCR and knock-in of the neoTCRs using single-step, non-viral precision genome editing, the manufacturing 57 of neoTCR engineered T cells at clinical grade, the safety of infusing up to three gene edited 58 59 neoTCR T cell products, and the ability of the transgenic T cells to traffic to the patients' tumours. 60

- 61 Main Text
- 62

The ultimate goal of any cancer therapy is to target and kill cancer cells while sparing normal. 63 64 cells. The human immune system is uniquely suited to achieve this goal due to the fine specificity 65 of the T cell receptor (TCR), which can distinguish single point mutations in the cancer genome 66 that change the amino acid sequences of peptides presented by the major histocompatibility 67 complex (MHC) on the cancer cell surface ¹⁻⁴. Mutational neoantigens provide the main target for 68 therapeutic activity of adoptive cell transfer (ACT) of tumour infiltrating lymphocytes (TIL), 69 antitumour T cells stimulated by immune checkpoint blockade, or cancer-specific vaccines ³⁻¹⁰. 70 Developing a clinical-grade approach to efficiently isolate multiple TCRs that specifically 71 recognize mutated peptides presented by any of a patient's six human leukocyte antigen (HLA) 72 class I alleles and subsequently engineer them back into autologous T cells for ACT therapy 73 would open a new way to potentially treat refractory cancers. This goal has been limited by the 74 large diversity of HLA class I alleles in the human population, with over 24,000 alleles currently 75 recorded ¹¹, and the polymorphic nature of the great majority of mutational antigenic determinants 76 recognized by T cells ³. This is the reason why most current TCR-engineered T cell therapies are limited to patients with HLA-A:02*01 haplotype ¹². The generation of arrays of mutated peptide-77 78 HLA TCR-binding reagents and single-cell cloning of the paired TCR chains provided a new 79 approach to isolate neoantigen-specific TCRs (neoTCR) across multiple HLA alleles ¹³. 80 Furthermore, previous engineering of human T cells has relied on the use of recombinant viral vectors ^{12,14}, but it is unfeasible to generate multiple personalized clinical grade vectors for every 81 82 patient being treated. The advent of nuclease-based precision gene editing allowed developing 83 approaches that use targeted insertion of transgenes into human T cells ¹⁵, and has paved the 84 way to achieve stable integration without requiring the use of viral vectors ¹⁶. Precision gene 85 editing with nucleases such as CRISPR/Cas9 allows simultaneous knock-out of the endogenous 86 TCR chains whilst inserting the transgenic TCR under the control of the physiologic TCR

promoter, which has been reported in some settings ^{17,18}, but not all ¹⁹, to provide advantages
over the same transgenes expressed under the control of constitutive viral vector promoters.

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90 In the current study, we describe the development of a highly sensitive approach for efficient 91 isolation of multiple TCRs specific for mutational neoantigens. This approach uses personalized 92 libraries of hundreds of predicted neoantigen peptide sequences presented by the individual 93 patient's HLA class I alleles, and a targeted, non-viral gene editing approach to reconstitute the 94 specificity of the isolated neoTCRs in a time-efficient process to generate clinical-grade neoTCRtransgenic T cell preparations for ACT (Figure 1a). Using these technologies, we have dosed 16 95 96 patients with solid cancers with up to three unique lots of gene-engineered T cells expressing patient-specific neoTCRs targeting private mutations from their cancer. 97

98

99 Personalized isolation of neoTCRs

100 Patients consented to provide a tumour biopsy plus peripheral blood mononuclear cells (PBMC) 101 as part of the screening for personalized neoTCR product selection (Extended Data Figure 1a). 102 Germline DNA from PBMCs was compared with tumour DNA using whole exome sequencing 103 (WES) for the identification of patient-specific tumour mutations. In addition, RNA sequencing 104 (RNAseq) was performed to determine the level of expression of the genes with cancer-specific 105 mutations. For the 16 patients dosed in the clinical trial, a median of 102 (range 31-488) non-106 synonymous somatic mutations (NSM) and a median of 35 (range 20-236) expressed mutations 107 were identified for each patient (Extended Data Table 1). With this approach, we prioritized a list 108 of up-to 352 neoantigen peptide-HLA candidates per patient (median 352, range 86-352, for a 109 total of 5,302 peptide-HLA candidates; peptide lengths of 8 to 11 amino acids) across the available 110 HLA class I alleles for that patient. The peptide-HLA complex libraries were produced in 293 cells 111 as single-chain trimers, where neoantigen peptides were fused in sequence to beta-2-112 microglobulin (β 2M) domains and the HLA. Of these, a median of 104 (range 49-262) patient-

113 specific peptide-HLA proteins were successfully synthesized (yield $\geq 2.7 \mu q$) per patient for a total 114 of 1,841 peptide-HLA proteins produced (Extended Data Table 1). These covered a total of 34 115 unique HLAs of the 64 HLA alleles represented in the screening process (Supplementary Table 116 1), with a median of 5 (range 2-6) HLA alleles covered per patient (Extended Data Table 1). The 117 peptide-HLA proteins were then DNA-barcoded, fluorescently labelled and multimerized. CD8 T 118 cells enriched from the patient's PBMCs were then stained using the patient-specific peptide-HLA 119 multimer library to isolate rare peripheral blood-circulating T cells for any of the predicted 120 mutations and HLA complexes, followed by single cell sorting with high sensitivity and specificity. 121 T cell isolation included CD95 cell surface staining to exclude CD8 T cells with a naïve phenotype. 122 Using this approach, we identified a median of 8 (range 3-30) unique TCRs from T cells of varying 123 frequency per patient resulting in a total of 175 TCRs for the 16 patients in the clinical trial, which 124 recognized a median of 5 (range 3-11) unique non-synonymous somatic mutations for a total of 125 84 neoantigen mutations (examples of typical data read-outs are given in Figure 1b and 1c).

126

127 neoTCR product selection

128 The biological and potential therapeutic relevance of the captured candidate TCRs against the 129 tumour neoantigens was then corroborated by precision genome engineering of healthy donor T 130 cells with each of the patient-isolated neoTCR candidates. The TCR-alpha and -beta genes from 131 each captured T cell were single-cell sequenced and cloned into homologous recombination (HR) 132 DNA plasmids. These HR plasmids were used together with site-specific nucleases to knock-out the endogenous TCR-beta chain and insert the transgenic TCR-alpha and -beta genes into the 133 134 endogenous TRAC locus of CD8 and CD4 T cells (Figure 2a). Each neoTCR candidate was then 135 tested for recognition of the cognate neoantigen or mismatched neoantigen controls to confirm 136 neoantigen peptide-HLA specific binding and interferon-gamma (IFNy) cytokine secretion. This 137 step of confirmation of the reconstituted neoTCR binding to the soluble peptide-HLA complex 138 resulted in 73 out of the 127 tested TCRs (57%) being confirmed as specific and sufficiently

139 functional neoTCRs for product selection (Extended Data Table 1), highlighting the value of 140 testing the candidate neoTCRs before proceeding with the clinical grade manufacturing of the neoTCR gene modified T cell product. Preclinical proof-on-concept data demonstrated that 141 142 neoTCRs isolated by our approach, when engineered into primary T cells, were able to specifically 143 recognize and kill tumour cells expressing endogenous levels of the neoantigen (Extended Data 144 Figure 1b and ref.²⁰). Furthermore, to retrospectively benchmark the neoTCRs selected for 145 product generation in our trial, we generated T cells products for seven clinically active TCRs ²¹⁻ 146 ²⁷. This showed that approximately 50% (18/37) of the neoTCRs we selected have a similar TCR 147 potency as measured by IFNy production (Extended Data Figure 1c).

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149 Up to three confirmed neoTCR candidates per patient were selected for clinical manufacture with a focus on TCR functionality and TCR binding in CD8 and CD4 T cells, diversifying among HLAs 150 151 and the targeted neoantigens and clonality of the targeted neoantigen (Extended Data Table 2). 152 The resulting 37 neoTCRs that were infused into the 16 patients in this clinical trial had IFNy half 153 maximal effective concentrations (EC₅₀) between 0.4 pg/ml for the highest affinity TCR, to 362 154 pg/ml for the lowest affinity TCR. Eighteen of the neoTCRs (48%) had an EC₅₀ greater than 30 pg/ml, eleven (30%) had an EC₅₀ between 30 and 3 pg/ml and were considered good affinity 155 TCRs, while eight (22%) had an EC_{50} lower than 3 pg/ml and were considered high affinity TCRs 156 157 (Extended Data Table 2). The full length TCR sequences, HLA alleles, and neoepitope sequences for the 37 TCRs are available in Supplementary Table 2. 158

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160 Non-viral precision TCR replacement

Patients with relapsed or refractory metastatic solid tumours who had progressed on standard of care treatment options for their cancer, with adequate performance status, evidence of measurable disease, and fulfilling eligibility criteria were considered for leukapheresis when up to three neoTCRs had been selected by the personalized prediction and isolation of neoTCR from

their PBMCs and tumour biopsies. Patients underwent leukapheresis at their local institution, and the leukapheresis product was shipped to the sponsor for manufacturing. CD4 and CD8 T cells were isolated using automated magnetic separation cell sorting and activated for two days, after which cells were electroporated for introduction of the Cas9 protein, guide RNAs to knock-out the endogenous *TRAC* and *TRBC* genes, and an HR template plasmid encoding the transgenic neoTCR. T cells were placed back in culture for expansion for 11 days and the final cell product was cryopreserved on day 13 for infusion on a flexible schedule (**Figure 1a**).

172

173 The single-step precision genome engineering results in the seamless replacement of the 174 endogenous TCR with the patient's native sequence neoTCR, whose expression was placed 175 under endogenous promoter regulation (Figure 2a). Infused CD8 and CD4 T cells expressing the 176 neoTCRs were detected by flow cytometric analysis using the cognate fluorescently labelled 177 peptide-HLA multimer and ranged from 1.9 to 46.8% of the live cell product (Extended Data 178 Table 2). The remaining cell product consisted of T cells that had knock-out of the endogenous TCR, but no knock-in of the neoTCR (KO), or wild-type T cells still expressing the endogenous 179 180 TCR (WT). Relative neoTCR affinity was determined by the ability of cognate peptide-HLA multimer to bind the neoTCR expressed by CD8 or CD4 T cells. Higher affinity neoTCRs can bind 181 182 the peptide-HLA target in the absence of the CD8 co-receptor, whilst lower affinity TCRs need 183 CD8 co-receptor stabilization. Based on this, TCRs can be classified as CD8-dependent or -184 independent binders (Figure 2b). Both CD8 and CD4 T cells showed insertion of the transgenes, 185 detected by intracellular staining for the 2A peptide that separates the neoTCR alpha and beta 186 chains (Figure 2b, Extended Data Figure 1d). Assessment of clinical cell products by targeted 187 locus amplification (TLA) confirmed on-target integration of the transgenic TCR cassette 188 (Extended Data Figure 1e). Analysis by fluorescence in situ hybridization (FISH) indicated a 189 slight increase (P value = 0.0137) in chromosomal aberrations at the chromosome 7 and 14 target 190 sites (**Extended Data Figure 1f**), suggesting the presence of *TRAC:TRBC* translocations.

However, cells harbouring translocations, including cells with *TRAC:TRBC* translocations, were
found by others to not exhibit a growth advantage and the frequency of these translocations
decreased over time ^{28,29}.

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The potency of the final cell product was assessed by measuring IFNy secretion by ELISA from 195 196 neoTCR gene edited T cells exposed to the cognate peptide-HLA multimer and was highly 197 correlated (Pearson r = 0.8412, p<0.0001), with the IFNy response measured by cytokine bead 198 array (CBA) of the same neoTCR in healthy donor cells generated at small-scale for initial product 199 selection (Figure 2c), further validating the neoTCR product selection process. In addition to IFNy 200 secretion, cells displayed a polyfunctional cytokine secretion profile in response to mutational 201 neoantigen peptide-HLA complexes, expressing CD107a on the cell surface and producing TNFa 202 and IL-2 (Extended Data Figure 2a). NeoTCR T cells proliferated in a dose-dependent manner 203 in response to neoantigen peptide-HLA stimulation (Figure 2d and Extended Data Figure 2b-204 c). Wild type cells (defined as having no evidence of CRISPR knock-out or knock-in of the TCR) 205 proliferated in response to the positive control T cell stimulation using anti-CD3/CD28, but not 206 with the cognate neoantigen peptide-HLA complexes. TRAC and TRBC knock-out cells do not 207 have a TCR complexed with CD3 chains on their surface and did not proliferate in response to stimulation with either the anti-CD3/CD28 positive control stimulation or with cognate neoantigen 208 209 peptide-HLA specific stimulation. Additional characterization revealed that the T cell phenotype 210 shifted from a predominantly T cell effector-like (Teff) phenotype in the incoming leukapheresis 211 product to a predominantly T memory stem cell (Tmsc) and T central memory (Tcm) phenotype 212 for each neoTCR cell product lot (Extended Data Figure 3a). There was infrequent expression 213 of the costimulatory and coinhibitory surface markers 4-1BB/CD137, LAG-3 or PD-1 (Extended 214 Data Figure 3b). There was frequent expression of TIM-3, which has been previously related to 215 the presence of gamma-chain cytokines in the cell culture ³⁰. CD73 and CD39, which are

upregulated on T cells during activation and differentiation ³¹, were also expressed by the
 manufactured cell product.

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219 During the course of the clinical trial, the medium formulation was changed to improve cell growth and editing efficiency of the clinical-grade preparations (cell manufacture versions 2.0 to 2.1), and 220 221 we also changed the electroporation device (cell manufacture versions 2.1 to 3, Supplementary 222 Table 3). The change impacted the quality and quantity of the clinical-grade preparations, with an 223 increase in the neoTCR knock-in from 13.4% to 23.0% (range 1.9-28.3% and 11.4-46.8% for cell manufacture versions 2.0 and 2.1, respectively) and increases in the total numbers of neoTCR-224 transgenic T cells manufactured (1.08×10⁹ to 1.78×10⁹). The switch in electroporation devices 225 improved the gene editing efficiencies resulting in robust knock-in and better knock-out reducing 226 227 the WT population in the final product to less than 10% (Extended Data Table 2, Extended Data 228 Figure 3c-d).

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230 Patient enrolment and treatment delivery

From December of 2019 to August of 2022, the study was active at 9 investigational sites. One-231 hundred-eighty-seven patients signed the informed consent to initiate the process of personalized 232 233 neoTCR discovery. After successfully obtaining the appropriate quality of baseline biopsies and 234 PBMC for DNA and RNA sequencing, 88 patients (47%) entered the neoTCR discovery process 235 and 46 patients (52%) of these patients met the requirements for successful product selection for 236 clinical manufacture (Figure 3a). Twenty-eight patients underwent apheresis and data from 16 237 patients dosed with neoTCR-transgenic T cell products generated using the V2.0, V2.1 or V3.0 238 manufacturing process have been included here. Patients had a median age of 47 years (range 239 36-70) and had received a median of 5 prior lines of therapy (range 2-9) at the time of consent. 240 Patients with cancer histologies across the tumour-mutation burden spectrum were enrolled, and 241 the infused cohort included 11 patients with microsatellite stable-colorectal cancer, two with

242 hormone receptor positive breast cancer, and one each with ovarian cancer, melanoma and lung cancer (Extended Data Table 3). Patients received a total of 4×10⁸ (dose level 1), 1.3×10⁹ (dose 243 level 2), or 4×10⁹ (dose level 3) neoTCR-transgenic T cells within three cell dose cohorts, with 244 245 four additional patients at the first two dose levels receiving subcutaneous IL-2 post-infusion, 246 which per protocol could be added to the regimen once at least three patients had been dosed at 247 that dose cohort and cleared its safety. Nine patients received cell products with three neoTCRs, 248 three with two neoTCRs, and four with one neoTCR each. The four patients in dose level 1 249 received a lymphodepleting conditioning regimen of 300 mg/m² of cyclophosphamide and 30 250 mg/m² of fludarabine for three days, which led to suboptimal depletion based on the absolute 251 lymphocyte counts. The regimen was modified for the remainder of the study and increased to 600 mg/m² of cyclophosphamide for three days and 30 mg/m² of fludarabine for four days 252 253 (Extended Data Table 3 and Extended Data Figure 4a). A step-by-step timeline from TCR 254 discovery and validation through product release for each patient is shown in Extended Data Figure 4b. Screening through TCR discovery took a median of 167 days, which included the 255 256 steps of informed consent through a sequenced biopsy accepted for pipeline analysis (60 days), 257 bioinformatics (11 days), neoantigen peptide-HLA complex isolation (62 days), neoTCR functional characterization in healthy donor T cells (29 days), and product selection of the neoTCRs for 258 dosing (5 days). After selection of the neoTCRs, patients were eligible for enrolment, with a 259 260 median of 102 days between enrolment and dosing. This included plasmid manufacturing (median 261 11 days), neoTCR transgenic T cell manufacturing (13 days), and lot release testing (28 days). 262 This period also included additional time before apheresis was scheduled (32 days). Once a 263 product passed lot release, there was a median of 18 days before the patient was dosed.

264

265 Infused neoTCR-engineered T cells in patients

We performed repeated peripheral blood sampling from patients to study the engraftment and expansion of the neoTCR-transgenic cells post-infusion over time. The peak of total neoTCR-

268 transgenic T cells detected in patient's blood increased with the increase in cell dose, occurring 269 at a median time of 2 days post-infusion (range 1-15 days) for the 16 patients dosed, and the percent of neoTCR+ cells in in the infused product correlated with the pharmacokinetic area under 270 271 the curve through day 7 (Figure 3b, Extended Data Figure 4c-d). In dose level 1, with the original 272 lymphodepleting regimen, the median peak of neoTCR-transgenic cells was 1.9% (range 0.9 to 273 3.3%), which increased to a median of 10.0% (range 7.7 to 12.1%) and 15.0% (range 12.0 to 274 37.7%) in dose levels 2 and 3, respectively, in patients who received the modified conditioning 275 chemotherapy regimen. With the original conditioning chemotherapy regimen, patients had absolute lymphocyte counts less than 100 cells/µL for a median of 1.5 days (range 0 to 3), which 276 277 increased to a median of 4 days (range 1 to 10) with the modified conditioning regimen (Extended 278 Data Figure 4a). The addition of subcutaneous IL-2 resulted in a peak of neoTCR-transgenic T 279 cells of 7.3% and 6.3% in two patients in dose level 1 (0604, and 0411, respectively). Patient 280 0026 was treated IL-2 in dose level 2, using version 2.0 of the cell manufacturing process, and 281 had a peak of 9.5% neoTCR-transgenic T cells. The two patients who received cell products 282 generated by version 3.0 manufacturing had the highest levels of circulating neoTCR-transgenic 283 T cells, peaking at 20.8% for patient 1003 in dose level 2 with the addition of subcutaneous IL-2, and at 37.3% for patient 0417 in dose level 3. Overall, strengthening the conditioning 284 285 chemotherapy, adding IL-2, and increasing neoTCR positive cells while decreasing WT cells in 286 the final cell product each may contribute to improving the maximum concentration and prolonging 287 the exposure of neoTCR T cells detected in the peripheral blood (Figure 3b, Extended Data 288 Figure 4a, c-d).

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290 One role of the lymphodepleting conditioning regimen is to increase the availability of the T cell 291 homeostatic expansion cytokines IL-7 and IL-15 in serum, favouring the expansion of the infused 292 T cells ¹⁴. Increased IL-7 and IL-15 levels were observed in serum from patients in the clinical 293 trial, with peak concentrations primarily observed at the time of infusion (**Extended Data Figure**

5a). Analysis of other circulating cytokines demonstrated early peak increases in IFNγ, TNFα, IL-6, IL-8, and IL-10 in occasional patients, with no clear relation with toxicities and at levels that were much lower than serum levels of these cytokines in cases with CRS in other clinical trials 32,33 . Circulating IL-2 could only be detected in the patients who received supplemental subcutaneous IL-2 dosing (**Extended Data Figure 5a**).

299

300 NeoTCR-transgenic T cells recovered from blood post-infusion, generally maintained the 301 phenotypes of the infusion product, with Tmsc and Teff being the predominant phenotypes 302 (Extended Data Figure 5b). There were infrequent increases in the surface expression of CD73, 303 LAG-3, and PD-1, while CD137/4-1BB remained low (Extended Data Figure 5c). Expression of 304 TIM-3 and CD39 decreased in the neoTCR-transgenic cells recovered from patients post-infusion 305 as compared to pre-infusion, further suggesting that their expression in the infusion product was 306 due to the day 2 activation and the presence of exogenous cytokines during the manufacturing 307 process ³⁰.

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309 neoTCR T cells in post-treatment tumour biopsies

310 In addition to the screening biopsy for neoantigen prediction and identification, patients provided 311 a baseline biopsy (day 5-7 prior to lymphodepletion) to assess continued neoantigen gene 312 expression, and a post-infusion biopsy (day 5-7 or 28) to analyse for neoTCR-transgenic T cell 313 infiltration. Of the eight patients with longitudinal biopsies available for analysis, 15 of 21 predicted 314 truncal neoantigen targets were detected at screening and baseline biopsies (Extended Data 315 Figure 6a). Patient 0010 was the only patient whose targeted mutations were not detected in the 316 longitudinal baseline or post-infusion biopsies (n=3), explained by this patient's cancer 317 demonstrating a very strong APOBEC somatic mutation signature (retrospective analysis, 318 Extended Data Figure 6b), which has previously been reported to drive extreme tumour 319 heterogeneity ³⁴. Patient 0605 had a neoTCR targeting a neoepitope predicted to be a sub-clonal 320 mutation in GPSM2, which was absent in follow-up biopsies. Patient 0506 had a neoepitope 321 mutation in PREP that was undetectable in longitudinal tumour biopsies but was detectable by a 322 bespoke ctDNA assay at both subsequent time points (Extended Data Figure 6c), suggesting 323 that ctDNA may be complimentary to tissue biopsies for accurately identifying sub-clonal 324 mutations. In addition, we performed retrospective HLA loss of heterozygosity (LOH) analysis on 325 biopsy samples from infused patients. Analysis showed that LOH of the specific HLA allele 326 presenting a selected neoantigen epitope had occurred prior to treatment in three patients 327 affecting four of the 37 TCRs that were selected and infused (Extended Data Figure 7a). Hence, 328 a subset of the neoantigen mutations presented by specific HLA alleles that had been targeted 329 by the dosed neoTCRs were not presented at the time of infusion. This demonstrates both the 330 importance of HLA LOH analysis for TCR-T cell therapies, as well as the value of identifying sets 331 of therapeutic TCRs that are not limited by specificity against neoantigens presented by a single 332 HLA allele.

333

334 Sequence quantification of the TCR complementary-determining region 3 (CDR3) was performed 335 on tumour biopsies before and after infusion (Figure 4a and b and Extended Data Figure 7b). 336 The genetically introduced neoTCRs frequently were among the top represented TCR CDR3 337 sequences in these biopsies, with 12 of the infused neoTCR sequences being among the top 4% 338 CDR3 sequences found in post-infusion biopsies, six of which were from patients at dose level 3 339 (Figure 4b, Extended Data Figure 7b). Immediately flanking the CDR3 sequence is a short 340 codon optimized constant region that can serve as a barcode to differentiate between the native 341 neoTCR and the transgenic neoTCR CDR3 sequences (Extended Data Figure 7c). This allowed 342 us to distinguish the neoantigen-specific native T cells from neoTCR-transgenic T cells, and to 343 guantify the infiltration of neoTCR-transgenic T cells into tumours post-infusion. By this approach, 344 22 separate populations of infused neoTCR-transgenic T cells were detected in the tumours.

Interestingly, only two of 22 populations (TCR913 and TCR441) of neoantigen-specific native T 345 346 cells were evident in pre-infusion tumour biopsies. TCR441 was found at a very high frequency 347 in the blood at time of product selection for patient 0010 (145 per 168,000 CD8 cells, Figure 1b), constituting 0.09% of CD8+ T cells in the blood. In both the baseline and post-infusion biopsies, 348 349 the TCR441 barcode could not be detected directly although the native sequence could, 350 suggesting the presence of native but not transgenic TCR441. TCR913 (from patient 0612) was 351 detected in the pre-infusion and post-infusion biopsies, and the detection of the TCR913 barcode 352 in the post-infusion biopsy suggests the presence of the infused TCR913-transgenic T cells. 353 TCRs with a lower IFNy EC₅₀ or higher affinity score (a measure of CD8 independent binding) 354 were more likely to be found in the tumour, with 16 of 22 individual T cell populations detected in the analysed post-infusion biopsies from the eight patients with biopsies available for analyses 355 356 (Extended data Figure 7 d, * p<0.05). For patient 0503 infused with a three neoTCR product, 357 the presence of the neoTCRs were inferred by the flanking barcode sequence (Figure 4a and b) but the CDR3 sequence for the specific neoTCR could not be resolved. 358

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360 The presence of neoTCR-transgenic T cells in the post-infusion biopsies was additionally 361 confirmed by fluorescence microscopy using RNAscope in situ hybridization (ISH) probes that 362 were developed to specifically detect the mRNA sequences of the neoTCR knock-in construct. 363 Using this approach for four of the post-dosing biopsies, we directly visualized the intra-tumoural 364 presence of the neoTCR-transgenic cells, frequently in physical contact with cancer cells (Figure 365 4c), demonstrating that the infused neoTCR-transgenic T cells trafficked to solid tumour 366 metastases. The neoTCR-transgenic cell frequency determined from these images showed good 367 correlation with the neoTCR transgene sequencing read counts (Pearson coefficient of 0.8, 368 Extended Data Figure 7e).

369

370 Toxicities, response and patient outcomes

371 All patients experienced grade 3-4 pancytopenia, which is an expected toxicity due to the 372 lymphodepletion conditioning regimen. There were two events of a toxicity possibly attributed to the neoTCR-transgenic T cell therapy: one patient (0613) with a grade 1 cytokine release. 373 374 syndrome (CRS), which occurred in the setting of febrile neutropenia; and one patient (1003) with grade 3 encephalitis presenting as difficulty walking, tremulous, difficulty writing on day 7 post-375 376 infusion, which was treated with high dose corticosteroids for four days with resolution of 377 symptoms (Extended Data Table 3). Eleven patients had disease progression and five patients 378 had stable disease as their best response at their first tumour assessment (day 28 post-infusion, 379 Extended Data Table 3 and Extended Data Figure 7f). Two of these patients had decreases in 380 size of some of the target lesions. This included the first patient in the clinical trial, 0010 in dose 381 level 1, who had a 17% decrease in size of the sum of maximum diameter of target lesions on 382 day 28. This patient had metastatic breast cancer and had received seven prior lines of therapy, 383 but it could be argued that this cancer may have responded to high doses of cyclophosphamide 384 from the preconditioning regimen. Since there was limited in vivo expansion of the infused neoTCR-transgenic T cells in patient 0010, an APOBEC signature with loss of the targeted 385 386 neoantigens and HLA-LOH for one of the targeted alleles was detected retrospectively, the decrease in size of target lesions may be due to the conditioning chemotherapy. Patient 1003, 387 388 the last patient in the clinical trial, had a metastatic non-small cell lung cancer with six prior lines 389 of therapy (three at consent and three more prior to neoTCR transgenic T cell infusion). This 390 patient had stable disease on day 28 scans with an overall sum of target lesions of -2% from 391 baseline scans, with decrease in size in target lesions in the liver, lymph nodes and ovary 392 (Extended Data Figure 7g), and concomitant increase in size in metastatic lesions at other 393 metastatic sites. While no post-treatment biopsy samples were available to look for T cell 394 infiltration or detection of the targeted neoantigens, the high percentage of neoTCR transgenic T 395 cells detected in the periphery and the decrease in some, but not all target lesions could suggest 396 some effect of the therapy.

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398 Discussion

Here we developed and utilized a confluence of technologies to efficiently define the landscape. 399 400 of T cell responses to mutational neoantigens presented by over 60 HLA class I alleles, to clone 401 neoTCR genes from individual T cells and, finally, to genetically engineer them back into 402 autologous T cells using non-viral gene editing techniques. In doing so, we demonstrate that it is 403 realistic to generate personalized T cell therapies with neoantigen-specific TCRs for ACT. When 404 infused into patients, these neoTCR-transgenic T cells circulate through the blood and were detected in tumour metastases at frequencies higher than baseline native T cells with the same 405 406 neoantigen-specific TCRs.

407

408 The technology described herein demonstrates the feasibility and safety of non-viral precision 409 genome engineering for the manufacturing of clinical grade gene-engineered ACT products. A 410 similar approach has been recently used to insert a CD19 chimeric antigen receptor (CAR) into 411 the PD-1 locus and infused to patients with B cell non-Hodgkin lymphomas with high level of antitumor activity ³⁵. The use of an HR template plasmid instead of a virus for the delivery of the 412 413 inserted payload allows for rapid and personalized vector generation used in both, the prototype 414 testing of neoTCR candidates as well as the generation of good manufacturing practices (GMP)-415 grade plasmid material for clinical-grade cell manufacturing. Using this strategy, we have 416 overcome the hurdles of lengthy and expensive generation of virus-based vectors for introduction 417 of the genetic payload, which hampers their use for personalized ACT. The cloning and GMP 418 manufacturing of the HR template plasmid is rapid and cost-effective, and the precision targeted 419 integration in the T cell genome affords an extra level of safety compared to random integration 420 associated with viral vectors. A further advantage is the ability to integrate payloads exceeding 421 the packaging limits of adeno-associated viruses and other viral vectors.

422

423 The clinical trial approach described herein has several limitations. A major limitation of an 424 approach targeting personalized neoantigens is the limited ability and time to characterize each 425 of them for protein expression and neoepitope presentation. Here we used an assessment of the 426 gene expression as well as mutation truncality for the selection of neoantigens being targeted. 427 Favouring mutations induced by oncogenic events in the first cancer clone (for example, from 428 carcinogens like tobacco or ultraviolet light) should result in truncal mutations, as opposed to 429 targeting mutations induced by DNA repair syndromes or APOBEC, with higher likelihood for 430 divergent heterogeneous evolution ³⁶. We have thought to target truncal mutation wherever 431 possible, however truncality determinations from a single biopsy are not perfect and additional 432 samples, e.g. in form of ctDNA are desirable. Additionally, retrospective analysis of the screening biopsies demonstrated that four of the selected neoTCRs were restricted by an HLA exhibiting 433 434 LOH, highlighting that LOH analysis should be included in the screening protocol before deciding 435 which neoantigens to target ³⁷. Given the potential for immunoediting of highly immunogenic neoantigens, baseline biopsies should be analysed for the status of antigen presenting machinery 436 molecules to rule out LOH of HLA alleles, and alterations in TAP transporters or B2M³⁸⁻⁴⁰. 437

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439 Another complexity of the personalized approach results from the different affinities of the 440 neoTCRs selected for each patient. In the current work, we initially cast a wide net based on 441 literature that suggested that low affinity TCRs T cells could be beneficial in chronic viral infections 442 ⁴¹. To provide a benchmark for TCRs used in adoptive cell therapies for the treatment of solid 443 cancers, we directly compared the activity of neoTCRs used in our clinical trial with prior well-444 characterized TCRs to shared antigens such as MART-1, Kras-G12D, HPV E6 and E7, mutated 445 P53 or NY ESO-1 for which clinical data were available ²¹⁻²⁷. These TCRs were shown to have EC₅₀ activities below 10 ng/mL with the exception of the HPV-E6 TCR, which had an EC₅₀ of 63 446 447 ng/ml. In comparison, only eight out of 37 TCRs that were selected for the cell products in our 448 neoTCR clinical trial had IFN γ EC₅₀ below 10 ng/ml. As we learned from our own data about

neoTCR T cell trafficking into the tumor and more clinical data became available ^{42,43}, we tightened
the TCR affinity criteria for product selection in favour of higher affinity TCRs, which applied to
the last two patients dosed on the current clinical trial (0417 and 1003).

452

453 Lastly, the personalized neoTCR isolation, cloning, validation and selection resulted in a lengthy 454 process, heavily dependent on the quality of the tumour and PBMC samples available for 455 analysis. Time to obtain additional samples and repeated analysis, particularly for screening of 456 TCRs, delayed the ability to infuse the neoTCR cell products to patients. This could be mitigated 457 by streamlined sample acquisition and process automation in the future. A potential solution for 458 both issues with the TCR and neoantigen variability as well as the lengthy product selection 459 process, would be using the same technology for TCR discovery and validation to generate a pre-460 established library of TCRs specific for common mutations and viral antigens that would cover 461 multiple HLA specificities.

462

With the original conditioning chemotherapy, manufacturing (processes V2 and V2.1) and the two 463 464 low cell dose cohorts, there was very limited in vivo expansion of the infused neoTCR gene-edited 465 T cells, which likely resulted in low probability of clinical benefit. The dose escalation study started 466 with cell doses that may be lower than would be needed for the potential of a clinical response, 467 especially if we consider that the total transgenic cell dose was divided by the three TCRs in many 468 patients. In the solid tumor setting, TCR transgenic T cell clinical trials conducted by others have 469 shown clinical activity in the 5-10 x 10⁹ per TCR range, with no clear dose response beyond 10 470 billion cells per TCR ^{42,43}. The improvements in the manufacturing during the conduct of the trial 471 and progressing through the cell doses led to a better *in vivo* expansion in the last patients dosed, 472 getting closer to the levels that would be therapeutic in other studies ²¹⁻²⁷.

474 In conclusion, in the current work we demonstrate the ability to use CRISPR-based, non-viral 475 knock-out and knock-in editing to genetically redirect T cells to mutational neoantigens in humans. This work builds on pioneering research of genome editing to redirect T cell specificity with 476 transgenic TCR engineering ⁴⁴ to generate a widely applicable, tumour-specific, personalized T 477 478 cell therapy for patients with solid cancers. The substitution of the endogenous TCR with a 479 neoTCR results in T cells that only react to the mutation presented by a specific HLA, providing a 480 safe target for T cell engineering and redirection to cancer cells. With the demonstration of 481 feasibility and safety of this approach, neoTCR-engineered T cells could be further genetically 482 engineered to increase their functionality. The versatility of the non-viral gene editing approach, which in a single-step allows knocking-out and knocking-in of several genes, predicts that near 483 484 future clinical approaches will be able to incorporate additional gene edits that improve T cell 485 function, avoid T cell exhaustion, permit T cells to continue to react to antigen despite repeated 486 antigen encounters, avoid the immune suppressive factors in the solid tumour microenvironment, and allow in vivo expansion even without the need for lymphodepleting conditioning 487 488 chemotherapy. There are many potential targets resulting from T cell biology studies in the past 489 decades, T cell functional analyses from knock-out mice, recent CRISPR screens, and engineering of synthetic receptors ^{29,45-49}, which will provide a path to generate neoTCR 490 491 engineered T cell therapies with the ability permit controlled in vivo expansion and avoid T cell 492 exhaustion, hopefully resulting in complete and durable responses for patients with solid tumours. 493

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686 Figure Legends

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Figure 1. Schematic for TCR discovery through cell manufacturing. a) Generation of neoTCR 688 689 product for each patient is separated into two steps: Screening and Enrolment. Screening begins 690 with identification of patient tumour-specific mutations based on sequencing data and 691 bioinformatic prediction of mutated neoantigen peptides. Native CD8 T cells that bind neoantigen targets are captured from blood using barcoded and fluorescently labelled peptide-HLA 692 multimers. NeoTCR sequences are cloned from captured T cells and functionally characterized 693 694 in healthy donor T cells before product selection. HR DNA plasmid(s) encoding the selected 695 neoTCR sequence(s) are then manufactured for subsequent cGMP T cell manufacturing. Patients 696 enrol on the study after product selection. Manufacturing begins with apheresis of the patient's blood followed by enrichment of CD8 and CD4 T cells. T cells are ex vivo precision genome 697 698 engineered to express one neoTCR. Cells are expanded, and cryopreserved until the patient is 699 ready for reinfusion. b and c) Two examples of neoTCR T cells isolated from patient PBMC. Each 700 box represents one T cell (x = 10 T cells); each colour represents a TCR clone. T cells within 701 dashed boxes target the same peptide-HLA target. Neoepitope amino acid sequence and 702 restricting HLA allele are indicated on top of the boxes. Peptide-HLA targets are indicated by tick 703 marks. Upward x-axis tick indicates peptide-HLA that bound to patient TCR. All T cells shown on 704 graph were a non-naïve phenotype based on CD95 expression. TCRs indicated with numbers 705 and arrows, were selected for clinical scale manufacture b) Patient 0010. A total of 262 peptide-706 HLAs were made. 236 neoantigen-specific T cells were isolated, representing 21 unique 707 neoTCRs. The neoTCRs targeted 8 neoantigens across two HLA's. c) Patient 0506. 105 bar-708 coded peptide-HLAs were made. Six neoantigen-specific T cells were captured representing five 709 unique neoTCRs. The neoTCRs targeted four neoantigens across two HLA's.

711 Figure 2. Non-viral precision genome engineering for clinical grade cell manufacturing. a) Schematic of construct design and resulting editing. b) Examples of endogenous TCR knock-out 712 713 and knock-in of up to three neoantigen-specific TCRs (neoTCRs) in clinical final cell product. Day 714 0 shows an example of the same patient's enriched T cell product but was not stained with the 715 peptide-HLA multimer. Day 13 flow plots show the results of each of the three neoTCR product 716 lots for that patient. CD8 T cells are shown on top and CD4 T cells on the bottom. c) TCR functionality as evaluated by IFNy production correlates between small-scale products generated 717 718 from healthy donor T cells and large-scale final cell clinical product. Functionality of the neoTCR clinical grade product made for patient autologous cells (IFNy EC₅₀ by ELISA or ELLA Simple 719 720 Plex) was correlated with functionality of the neoTCR product made in healthy donors at product 721 selection (IFNy EC₅₀ by CBA; Pearson r = 0.8412, p<0.0001). d) Proliferation analysis of neoTCR 722 clinical final cell products upon exposure to peptide-HLA stimulation at 1,000 ng/ml. Each dot 723 represents a unique neoTCR product. WT = wild-type, unedited cells expressing the endogenous 724 TCR. NeoTCR+ or GE = Gene Edited: knock-out of wild-type TCR and knock-in of neoTCR. KO 725 = Knock-out of the endogenous TCR only, these cells do not have a TCR on their surface.

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727 Figure 3. Clinical trial patients and samples, and analysis of neoTCR-transgenic T cells in blood 728 post-infusion. a) CONSORT diagram with the number of consented patients, patients who went 729 onto TCR isolation, leukapheresis, clinical product manufacturing, infused with neoTCR-730 transgenic T cells and with blood and biopsy samples for analyses. b) Expansion and persistence 731 of neoTCR-transgenic T cells in peripheral blood of patients measured by flow cytometry of 732 peptide-HLA multimer stained cells. Percentages of total T cells from patients in dose level 1 733 (DL1, left), DL2 (centre), and DL3 (right) are shown. Patients treated with IL-2 combination 734 therapy are indicated by dotted lines. Cancer type is shown next to patient number: breast (BrCa), 735 ovarian, melanoma, colorectal (CRC). All available timepoints were analysed. Patient 0613: 1.3% 736 NeoTCR+ cells at day 106 post-infusion. Limit of detection is approximately 0.16%.

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738 Figure 4. Trafficking of neoantigen-specific TCR (neoTCR) transgenic T cells as detected in 739 tumour biopsies. a) Analysis of all available baseline (Pre) and post-infusion (Post) biopsies for 740 the presence of infused neoTCRs from detected CDR3 alpha and barcoded reads for dose level 1, 2 and 3 with (+IL2) or without IL2. Colours of boxes indicate cancer type and one TCR for which 741 742 the sequencing reads supporting the codon optimized constant region was not detected is marked 743 with an orange line (patient 0010). b) Quantitation of TCR CDR3 alpha reads in post-infusion 744 biopsies from patients. Dots are labelled both by size (larger if neoTCR barcode was confirmed) and colour. Coloured dots are CDR3 sequences matching neoTCRs, and grey dots are neoTCR 745 746 unrelated CDR3 alpha reads and their relative quantification. Boxes indicate the interquartile 747 range (IQR); centre line, median; whiskers, lowest and highest values within 1.5x IQR from the first and third quartiles, respectively. c) Spatial profiling to image neoTCR-transgenic T cells in 748 749 tumours. Gray = nuclei, green = neoTCR, magenta = CD3. White arrows denote neoTCR T cells. 750 Scale bar is 20 micrometres.

752 Online Methods

753

754 Clinical trial design

755 This phase 1a trial was a multicentre 3+3 dose escalation study. The primary objectives were to 756 evaluate safety and tolerability, determine a maximum tolerated dose, and evaluate 757 manufacturing feasibility (NCT03970382). Safety and feasibility were assessed for the infusion of 758 up to three distinct neoTCR T cell products for each patient. Patients were treated with neoTCR T cells at doses of 4×10^8 (dose level 1, $1.3 \times 10^8 - 4 \times 10^8$ cells/TCR), 1.3×10^9 (dose level 2, 759 400×10⁸ – 1.3×10⁹ cells/TCR), and 4×10⁹ (dose level 3, 1.3×10⁹ – 4×10⁹ cells/TCR) neoTCR 760 761 positive T cells. The total number of gene-edited cells at a given dose level remained the same, 762 regardless of the number of neoTCR T cell products infused (1, 2, or 3). Only participants who received three neoTCR products contributed to clearing a dose level. The first four patients 763 received a conditioning regimen of 30 mg/m² fludarabine and 300 mg/m² cyclophosphamide 764 intravenously (i.v.; days -5 through -3; 3 doses each) and it was modified to 30 mg/m² fludarabine 765 (i.v.; days -6 through -3; 4 doses) and 600 mg/m² cyclophosphamide (i.v.; days -5 through -3; 3 766 767 doses) for subsequent patients. NeoTCR T cells were infused on day 0, with consecutive infusion of up to three neoTCR T cell products. After safety was evaluated at each dose level, additional 768 participants were eligible for expansion at the cleared dose level along with 500,000 IU/m² low-769 770 dose IL-2 (aldesleukin) administered subcutaneously (s.c.) twice a day from days 1-7 starting on 771 day 1.

772

773 Study Oversight

The protocol was approved by the institutional review board at each site enrolling patients: City
of Hope, Duarte California; University of California Los Angeles, Los Angeles California;
University of California, Irvine Medical Center, Orange, California; University of California, Davis,
Sacramento California; University of California, San Francisco, San Francisco California;

Northwestern University Medical Center, Chicago Illinois; Memorial Sloan Kettering Cancer Center, New York, New York; Tennessee Oncology, Nashville, Tennessee; and Fred Hutchinson Cancer Research Center, Seattle, Washington.. The trial was conducted in accordance with the principles of the Declaration of Helsinki. An independent data and safety monitoring committee regularly reviewed safety data. All patients provided informed written consent. The trial protocol and statistical analysis plan were designed in a collaboration between the sponsor (PACT Pharma Inc.) and the authors.

785

786 Patients

787 Patients were eligible for screening to identify TCRs if they were ≥ 18 years of age, had one of 788 the following metastatic solid tumour types: urothelial carcinoma, melanoma, non-small cell lung 789 carcinoma, head and neck squamous cell carcinoma, colorectal cancer, ovarian cancer, hormone 790 receptor positive and triple negative breast cancer, or prostate cancer, had disease progression 791 after at least one available standard therapy with no additional curative options available, and 792 measurable disease per RECIST v.1.1. Inclusion criteria for TCR identification included providing 793 a tumour biopsy (fresh or archival tumour biopsy within one year of consent) for sequencing and 794 neoantigen prediction, PBMCs for T cell isolation, and a willingness and ability to undergo 795 leukapheresis for cell product manufacture. To decrease the risk of decline during TCR product 796 selection and cell therapy manufacture and infusion, patients were required to have a life 797 expectancy of > 6 months, ECOG of 0 or 1, and adequate hematologic and organ function.

798

Between consent and neoTCR product selection, patients were able to be treated with anti-cancer therapies. Patients were required to discontinue therapy within two weeks or five half-lives (whichever was shorter) prior to leukapheresis. On successful neoTCR product selection and prior to leukapheresis, patients were required to meet the initial screening criteria with adequate hematologic and organ function. Patients with asymptomatic brain metastasis were included.

Eligible patients had an absolute lymphocyte count of at least 500 cells per cubic millimetre. During manufacturing, patients could receive bridging therapy at the investigator's discretion, after which repeat baseline imaging was performed. Prior to receiving conditioning chemotherapy and cell infusion, patients were required to have no significant changes in status compared to the initial eligibility criteria.

809

810 Safety and response assessments

Incidence and nature of adverse events to define dose-limiting toxicities (DLTs) was documented using the NCI CTCAE v5.0 except for CRS and neurotoxicity, which were evaluated per the ASTCT consensus criteria. The DLT assessment window was from day 0 to day 28. A Safety Review Team (SRT) was chartered to review safety after each dose level and prior to opening the IL-2 cohorts. Response assessment for overall response rate (ORR) was determined by investigator assessment using RECIST v1.1 on day 28 and confirmed by repeat assessment ≥4 weeks after initial documentation.

818

819 Biospecimen collection and processing

820 Archival or fresh tumour biopsy specimens were formalin-fixed and paraffin-embedded (FFPE). 821 Paraffin-embedding was performed at the study site or CellCarta (Quebec, Canada). Tumour 822 sectioning was performed by CellCarta and cut sections were used for sequencing at Personalis 823 (Menlo Park, CA) or fluorescence microscopy. Screening peripheral blood samples for 824 sequencing were collected in K2EDTA tubes, processed at Precision for Medicine (Bethesda, 825 MD), and sequenced at Personalis. Peripheral blood samples for T cell identification at screening 826 or on-treatment analysis were collected in ACD or CPT tubes and shipped to Precision for 827 Medicine for PBMC isolation and cryopreservation. For clinical cell product manufacture, a whole 828 leukapheresis product was obtained from the patient at the study site and shipped overnight to

the study sponsor. Samples from each infusion cell product and final clinical cell manufacture

830 product were cryopreserved. Serum and plasma were collected and cryopreserved until analysis.

831

832 Neoantigen prediction and truncality estimation

Patient's tumour biopsies and the matched normal sample from PBMC were sequenced to identify 833 834 expressed non-synonymous coding mutations (NSM). Although most of the analysis was the 835 same, there were 3 versions of the pipeline used throughout the clinical trial and the differences 836 are described below. Samples 0010, 0026, 0503, 0506, 0603, 0604, 0605, 0612 and 0613 were processed on version 1, version 2 processed 0030, 0038, 0404, 0411, and 0611, version 3 837 838 processed 0417 and 1003. Briefly, whole exome sequencing (WES) and RNA-Seq from a recent 839 tumour sample in FFPE and PBMC were performed using Illumina HiSeg 2500 platform or NovaSeq 6000 platform (Illumina, San Diego, CA). First, WES sequences were aligned to the 840 841 human reference genome build 37 (GRCh37/hg19) using BWA-MEM ⁵⁰. NSM identified by at least two mutation callers among VarScan2 and MuTect or MuTect2/VarDictJava/Strelka2 were 842 retained as potential neoantigens ⁵¹⁻⁵⁴. RNA-Seg sequences were mapped to human genome, 843 844 quantified and normalized using STAR/RSEM ⁵⁵. A minimum of 1 RNA-Seq read was used for conformation of expression, however TPM and expression values were further considered at time 845 of product selection. Next, the neoantigen sequences and patient's HLA types identified from 846 patient's PBMC WES using OptiType ⁵⁶ were used as input for HLA-peptide binding affinity 847 848 prediction with netMHCpan. In version 3 of the software, OptiType alignment with RNA-Seq reads 849 to individual restricting HLA's to access expression levels were routinely reported. Version 1 used 850 netMHCpan 3.0, version 2 used netMHCpan4.0 and version 3 used version netMHCpan4.1 57. In 851 addition, the truncality and cancer cellular fraction of the detected NSM was predicted based on 852 WES results and was available at time of product selection with preference given to truncal NSMs. 853 Briefly, the read alignment files from WES of tumour biopsies and matched PBMC generated 854 previously were used as input for copy number segmentation, ploidy and tumour purity estimation,

855 and allelic copy number profiling using Sequenza ⁵⁸, followed by PyClone analysis as previously 856 described for NSM truncality estimation ⁵⁹. Finally, the HLA-peptide complexes with predicted binding affinities among top 2% ranking with respect to each HLA were selected and only the 857 858 peptides with confirmed expression by RNA-Seq were allowed. A maximum of 352 selections 859 were made per patient. In version 3 of the software, the first 5 epitopes chosen consisted of recurrent driver mutations ⁶⁰ regardless of ranking if they were present and expressed. Prioritized 860 861 HLA-peptide complexes were proceeded to protein reagent generation. Necepitopes that were 862 derived from recurrent driver mutations were noted at time of product selection.

863

864 Retrospective sequence analysis of post-dosing and screening samples

865 Amplicon sequencing-based TCR assays were conducted at Personalis to further interrogate the 866 immune repertoire in tumour biopsies and the CDR3 reads and frequency from TCRα and TCRβ 867 were reported to evaluate the neoTCR trafficking after dosing ⁶¹. To further ensure the integration of neoTCR and its expression in the post infusion biopsies, the tumour sequencing reads from 868 869 whole-exome sequencing and RNA-Seq were aligned to the gene-editing payload containing 870 neoTCR TCR β , TCR α , P2A and partial *TRAC* chain following the similar protocols described in the main text. The tumour sequencing reads from RNA-Seq were used as input to the MiXCR 871 872 program 62 . The TCR α and TCR β CDR3 sequences in tumour biopsy were identified and 873 compared to neoTCR CDR3 sequences. Five distinct base changes in a short stretch of a codon 874 optimized constant region served as an effective barcode for identifying specific neoTCRs. The sample somatic mutational signatures for patients was determined using MuSiCa from screening 875 876 WES data ⁶³. This program compares somatic signature profiles to previously published COSMIC 877 somatic signatures (https://cancer.sanger.ac.uk/signatures/) 64.

878

879 HLA LOH was analysed using both WES and RNA-seq data ⁶⁵ using two complimentary
 880 approaches. First, WES data was used to access allelic copy number at HLA loci using Sequenza

881 ⁵⁸, with a copy number state of zero denoting putative LOH. To determine which HLA allele was 882 lost, SNP frequencies between alleles were compared with a binomial GLM and the allele with lower SNP frequency assigned to the lower copy number state. Unique SNPs for HLA alleles, 883 were derived from IMGT 3.40.3 ⁶⁶. Second, allelic imbalance at 26 HLA loci was calculated in 884 DNA and RNA, where allelic imbalance is the normalized ratio of sequencing depth at SNP 885 886 positions between alleles at a locus. Higher allelic imbalance in DNA corresponds to a greater 887 difference in copy number between alleles, while higher allelic imbalance values in RNA represent 888 a greater difference in the expression of one allele relative to the other. Samples with LOH are expected to have higher allelic imbalance in DNA and RNA because LOH reduces the sequencing 889 890 depth at SNP positions for the lost allele. Allelic copy number and allelic imbalance in DNA and 891 RNA were then manually reviewed to confirm the HLA LOH classification.

892

Somatic variants from WES data were used for a custom ctDNA assay made by Natera Inc. (San Carlos, USA) as previously described ⁶⁷. Briefly, this assay designs multiplex PCR primers for 16 truncal variants and up-to 16 custom variants of interest. Plasma from longitudinal samples had cfDNA isolated and amplified and subsequently sequenced on an Illumina sequencer. All ctDNA timepoints were normalized by quantifying the mean tumour molecules (MTM) per mL of plasma. 898

899 Peptide-HLA protein synthesis

Peptide-HLA complex libraries were generated by assembling single-chain trimers ⁶⁸, where neoantigen peptides were fused in sequence to beta-2-microglobulin (β 2M) domains and the HLA, each domain being linked with (G4S)₄ motifs. Briefly, double stranded oligo nucleotides (IDT) were ligated into pcDNA vectors encoding linkers, β 2M and the corresponding HLA, and the expression sequence amplified by PCR. Linear amplicons were transfected into Expi293F cells (ThermoFisher, Waltham, MA) following the manufacturers recommendations. Cells were harvested after five days, and supernatants were clarified using 96-well Pall filters. Proteins were

biotinylated with Biotin Ligase BirA (Avidity, Aurora, CO) for two hours at room temperature.
Biotinylated proteins were purified by IMAC (Ni-sepharose) using the Phynexus Phytip system
and buffer exchanged into HBS using the ThermoFisher Zeba Spin 7k MWCO plates or by Zn(+)loaded HiTrap capto-chelate resin in tandem with HiTrap Desalting columns to remove imidazole.

911 Protein concentration was determined by absorbance at 280 nm.

912

913 NeoTCR isolation

Each purified patient peptide-HLA protein was multimerized, fluorescently labelled, and DNA barcoded. The barcode followed the structure Adaptor-UMI-Barcode-UMI-Adaptor (Integrated DNA Technologies, Coralville, IA). Briefly, biotinylated peptide-HLA proteins and biotinylated DNA barcodes were mixed at a 3:1 molar ratio, and then complexed into fluorescently labelled multimers with PE-streptavidin or APC-streptavidin (Life Technologies, Carlsbad, CA) at 4:1 molar ratio of streptavidin to biotin. Each patient's peptide-HLA multimers were then pooled, concentrated, and used to stain cells.

921

922 Neoantigen-targeted TCRs were isolated from a patient's own peripheral blood. Briefly, PBMC 923 were enriched for CD8 T cells by negative selection (Miltenyi Biotec, Bergisch 924 Gladbach, Germany) with the addition of CD16 and CD56 markers (R&D systems, Minneapolis, 925 MN) to prevent loss of activated CD8 T cells. Enriched CD8 T cells were then stained with the 926 pooled library of neoantigen peptide-HLA multimers, plus a panel of fluorescently-labeled 927 antibodies against cell surface markers, including CD39, CD103, and CD95 (see Supplementary 928 Table 4 for reagents, Supplementary Figure 1 for gating strategy). Next, antigen-experienced 929 CD95+, multimer+ CD8+ T cells expressing neoantigen-targeted TCRs were single-cell sorted 930 using a FACSAria III (BD Biosciences, Franklin Lakes, NJ). DNA-barcodes from each sorted cell 931 were sequenced and used to identify the TCR's neoantigen peptide-HLA binding target. TCR 932 alpha and beta chains were amplified by RT-PCR and sequenced (MiniSeg or MiSeg, Illumina).

TCR alpha and TCR beta reads were used to identify V and J chains, and to reconstruct CDR3
 sequences as well as full-length VDJ regions by leveraging IMGT library ⁶⁹, with the MiXCR
 program ⁶².

936

937 Homology Directed Repair (HR) template generation

938 Paired TCR α/β variable regions from neoepitope-specific T cells were amplified by PCR using the 939 corresponding variable region-specific primers. The purified PCR products were assembled with 940 constant regions and homology arms to generate patient-specific HR template plasmids. The 941 patient-specific HR template plasmid were designed to direct the integration of the gene cassette 942 into the first exon of TRAC. The payload consisted of the following structure: P2A, HGH signal 943 sequence, neoTCR β chain, furin cleavage site, P2A, Human Growth Hormone (HGH) signal 944 sequence, neoTCR α variable region, and partial TRAC constant chain. Homology arm 945 sequences homologous to the TRAC locus flanked the plasmid payload and were 1000 base 946 pairs each. The templates were verified through Sanger sequencing and agarose gel 947 electrophoresis.

948

949 NeoTCR T cell generation

950 CD4 and CD8 T cells were positively enriched from healthy donor leukapheresis products by 951 magnetic selection (Miltenvi) and activated for 48 hours with CD3/CD28 stimulation (TransACT, 952 1:17.5 by volume; Miltenyi) in T cell medium (TexMACS medium, Miltenyi, supplemented with 12.5 ng/ml IL-7 and IL-15, Miltenvi, and 3% Human AB serum, Valley Biomedical, Winchester, 953 954 VA). After activation, the T cells were centrifuged and resuspended in P3 buffer (Lonza, Basel, 955 Switzerland). CRISPR/Cas ribonucleoproteins (RNPs) were formulated by complexing guide 956 RNAs targeting TRAC and TRBC (Synthego, Redwood City, CA) to spCas9 protein (Aldevron, 957 Fargo, ND) in a 6:1 molar ratio. The patient-specific HR template and RNPs were mixed with the

- cell suspension, electroporated (Lonza, X-unit, EO-115), and transferred into T cell medium in a
 24-well G-rex (Wilson Wolf, New Brighton, MN) for 4-5 days with media changes as needed.
- 960

961 **NeoTCR binding and affinity analyses**

Specific binding of the patient-specific neoTCR was confirmed by flow cytometry. Biotinylated 962 963 peptide-HLA molecules were fluorescently labelled with PE-streptavidin (ThermoFisher) and 964 Biotin-labelled Dextran (500 kDa, Nanocs) to generate a dextramer for staining as previously 965 described ⁷⁰. Cells were stained with a fixable viability dye, the matched peptide-HLA dextramer to measure neoTCR binding, CD4, and CD8 (see Supplementary Table 4 for reagents). Cells 966 967 were permeabilized and stained intracellularly with 2A antibody to assess gene editing. Gene editing was confirmed if \geq 5% of the T cells stained positive for 2A. TCR identity was confirmed if 968 969 ≥ 5% of neoTCR+ T cells stained positive for the matched peptide-HLA dextramer. CD8-970 independent binding was confirmed when 2A+neoTCR+ binding on CD4+ T cells was \geq to 50% 971 of the 2A+neoTCR+ CD8+ T cells. Otherwise, edited CD4 T cells were considered to have weak 972 or no binding (CD8-dependent). An affinity score was generated as a metric to further quantify 973 CD8 dependent or independent binding with the following formula: Affinity score = (CD4+ NeoTCR+ 2A+/CD4+2A+) + (CD8+ NeoTCR+ 2A+/CD8+ 2A+). In general, this results in the 974 975 following TCR calling: <0.25 = non-binders, 0.25-1.25 = CD8 dependent, and >1.25 = CD8 976 independent.

977

978 Plate-based antigen stimulation of neoTCR cells

979 Streptavidin-coated plates (Eagle Biosciences, Amherst, NH) were pre-incubated with cognate or 980 control peptide-HLA molecules at various concentrations for 2-5 hours at room temperature or 981 16-30 hours overnight at 4°C. T cells electroporated with neoTCRs or control TCRs were then 982 stimulated on the plates in T cell culture medium (TexMACS + 3% Human AB serum + 1% 983 Pen/strep, Gibco) at 37 °C and 5% CO₂.

984

985 **IFNγ secretion and product selection**

986 The supernatant from the plate-based stimulation assay (overnight using 0.1-1000 ng/ml peptide-

987 HLA) was collected and analysed by CBA (Human Th1/Th2 Cytokine Kit II, BD Biosciences),

988 acquired on an Attune NxT flow cytometer (Thermo Fisher), and an EC₅₀ was calculated for IFNγ

989 in GraphPad Prism. Product Selection was performed considering target truncality, expression,

990 TCR functionality and neoantigen and HLA diversification.

991

992 Cell killing in a colorectal cancer cell line

A tumour biopsy and PBMCs were obtained from a treatment naïve patient with colorectal 993 994 adenocarcinoma (Asterand Inc, Detroit MI, USA). A neoTCR was isolated from the PBMC sample 995 using the targeting a mutation in COX6C (R20Q peptide aa18-46, HLA-A:02:01). The SW620 996 colorectal cancer cell line (CCL-227, ATCC) was transduced with IncuCyte NucLight Red 997 Lentivirus (Sartorius) and sorted for high dye (red) expression. The SW620 cells were then 998 transfected with gRNA and Cas9 ribonucleoproteins and single-stranded HDR template 999 containing the desired neo-antigen point mutation (R20Q) and PAM-ablating mutation in cis under the control of the endogenous regulatory elements. Genotyping confirmed editing and clonal cell 1000 1001 populations were isolated by limiting dilution cloning and single cell sorting. Wild-type or mutant 1002 SW620 cells, expressing endogenous levels of HLA and neoepitope, were then incubated with 1003 NeoTCR-specific T cells in an IncuCyte (Sartorius) for 24 hours to determine target cell killing.

1004

1005 Clinical manufacturing

Plasmid manufacturing. All clinical products were manufactured in the PACT Plasmid and Cell
 GMP manufacturing facilities (South San Francisco, CA) following clinical manufacturing
 protocols. NeoTCR-P1 plasmid was propagated from patient-specific HR template
 plasmid generated for TCR validation, using selected patient-specific HR template plasmid as

1010 source material for GMP plasmid manufacturing. Patient-specific plasmid reagent was 1011 transformed into Escherichia Coli 5α (Aldevron) competent cells. Transfected cells 1012 were plated, and a single isolated colony was used for seed culture growth, then transferred 1013 to inoculate the main fermenter. The end of fermentation culture was collected and centrifuged to 1014 harvest cell paste. The cell paste was lysed, RNA enzymes digested, then clarified by flocculation 1015 and depth filtration. During purification, the clarified lysate was processed by anionic exchange 1016 chromatography (AEC) then hydrophobic interaction chromatography (HIC). Purified plasmid was 1017 concentrated and diafiltered into formulation buffer by hollow-fiber cartridge tangential flow 1018 filtration (TFF), sterile filtered, and frozen until cell manufacture.

1019

Clinical cell manufacturing. Patient leukapheresis products were received from clinical sites 1020 after overnight shipment. CD4 and CD8 T cells were positively selected on the ClinicMACs 1021 1022 Prodigy (Miltenyi). Up to 715 × 10⁶ cells were seeded in culture media (TexMACS media + 3% 1023 Human AB Serum, V2.0; or PRIME-XV Media [Irvine Scientific, Santa Ana, CA], V2.1 or V3.0, 1024 see Supplementary Table 3), supplemented with cytokines (IL-7 and IL-15, each at 12.5 ng/ml) 1025 and activated for 44 hours with TransACT (1:17.5 by volume) in a G-Rex 100M CS (Wilson Wolf). Cells were then collected and electroporated on the Lonza Nuclefoector (LV-unit, EO-115, V2.0 1026 1027 or V2.1) or a pre-commercial version of the CTS Xenon Electroporation system (ThermoFisher, 1028 V3.0) with RNPs and patient-specific plasmid DNA to express a patient-specific neoTCR. Each 1029 individual lot was then expanded in a G-Rex in cytokine supplemented growth media (TexMACS) media + 3% Human AB Serum, V2.0; or PRIME-XV Media + 2% Physiologix serum replacement 1030 1031 [Nucleus Biologics, San Diego, CA], V2.1, V3.0), with media exchanges and splits to additional 1032 G-Rex vessels as appropriate. On day 13, cells were harvested and cryopreserved in a 50:50 mix 1033 of Plasma-Lyte-A (Baxter, Deerfield, IL) plus 2% human serum albumin (Grifols, Los Angeles, 1034 CA) and CS10 (Stemcell Technologies, Vancouver, Canada).

1035

1036 NeoTCR staining and T cell functional assays

IFNγ secretion at clinical lot release. An aliquot of fresh cells was taken on the day of harvest
and tested using the plate-based stimulation assay described above (24 h stimulation). Controls
consisted of "mismatch" peptide HLA coated plates. The supernatant was collected and analysed
by IFNγ ELISA (Quantikine ELISA Human IFNγ Immunoassay Kit, R&D Systems) or using the
ELLA Simple Plex Immunoassay platform (Simple Plex for Human IFNγ, Bio-techne, Minneapolis,
MN). An EC₅₀ was calculated in GraphPad Prism.

1043

Proliferation assay. An aliquot of the cryopreserved final cell product was thawed, washed, and 1044 1045 rested for three days in T cell recovery medium (TexMACS + 3% Human AB serum + 12.5 ng/ml IL-7 and IL-15). Rested cells were labelled with ViaFluor (Biotium, Fremont, CA) and incubated 1046 for 10 minutes at 37 °C, followed by a 30-minute incubation with stop solution (Day 0). ViaFluor 1047 1048 stained cells were then used for the plate-based stimulation assay (0.1-1000 mg/ml peptide-HLA) for 22-26 hours, supplementing the media with 5 ng/ml IL-7 and IL-15. No stimulation, mis-1049 1050 matched peptide-HLA (1000 ng/ml) and TransACT (1:17.5) were used as controls. The next day 1051 (day 1), TransACT samples were washed 2X and all samples were removed from stimulation and 1052 transferred to a fresh plate in T cell culture medium and placed at 37 °C and 5% CO₂ for 72 hours 1053 (Day 4). Viafluor cells were taken on day 0 (pre-stimulation) or day 4 (post-stimulation) and stained 1054 for flow cytometric analysis (see Supplementary Table 4 for reagents, Supplementary Figure 1055 2 for gating strategy). Cells were labelled with a fixable viability dye and stained with neoTCR-1056 matched dextramer to measure neoTCR surface expression, CD8, CD4, and TCRαβ. Cells were 1057 then fixed, permeabilized, and stained intracellularly with the 2A antibody to assess total gene 1058 editing. Cells were fixed and acquired on the Attune NxT Flow Cytometer. Proliferation EC₅₀ is 1059 defined as the neoantigen peptide-HLA concentration at which ViaFluor MFI reaches half of the 1060 minimum ViaFluor MFI when the dose-response curve is fitted with a sigmoidal trend.

1062 Intracellular cytokine staining. An aliguot of thawed and rested cells from the proliferation assay 1063 were stimulated for 16 hours using the plate-based stimulation assay (0.1-1000 mg/ml peptide-1064 HLA, 100,000 cells/well). No peptide-HLA was used as a control. T cell culture medium was 1065 supplemented with CD107a antibody, brefeldin A, and monensin protein secretion inhibitors. The next day, cells were stained with a fixable viability dye, and CD4 and CD8 surface markers, fixed 1066 1067 and permeabilized, and stained intracellularly for IFNy, TNF α , and IL-2 (see Supplementary 1068 Table 4 for reagents, Supplementary Figure 3 for gating strategy). Cells were fixed and acquired 1069 on the Attune NxT Flow Cytometer.

1070

Flow cytometry analysis of neoTCR cells in manufactured products and peripheral blood 1071 Cryopreserved T cell products or PBMC specimens were thawed, washed, and labelled with 1072 1073 fixable viability dye. For identification of cells expressing the neoTCR, cells were incubated with 1074 a multimer reagent prepared using cognate peptide-HLA molecules ⁷⁰, then stained with a panel 1075 of surface antibodies for pharmacokinetic analysis (see Supplementary Figure 4 for gating 1076 strategy). Transgene expression was further identified by intracellular staining with the 2A peptide 1077 antibody. Additional staining for phenotypic markers was performed on thawed manufactured 1078 products or PBMC specimens (see Supplementary Table 4 for a list of all flow reagents, and 1079 Supplementary Figures 5-8 for gating strategies). Post-dose PBMC specimens were first 1080 enriched for neoTCR+ cells after peptide-HLA multimer staining using anti-APC magnetic 1081 enrichment beads (Miltenyi). neoTCR positive counts per µL were calculated using the following 1082 formula: absolute lymphocyte count x (% CD5⁺ of live lymphocytes) x (% neoTCR positive of 1083 CD5⁺). Matching absolute lymphocyte count data was not available for all timepoints. Data were 1084 acquired using an Attune NxT cytometer and analysis was performed using FlowJo (BD 1085 Biosciences) or FCS Express (De Novo Software, Pasadena, CA) software.

1086

1087 Serum Cytokine Analysis

1088 Serum protein concentrations were measured by Precision for Medicine using an 1089 electrochemiluminescence immunoassay (Meso Scale Discovery, Rockville, MD). V-Plex 1090 Proinflammatory Panel 1 was used for the following cytokines: IFN γ , IL-2, IL-6, IL-8, IL-10, IL-1091 12p70, IL-13, and TNF α . V-Plex Cytokine Panel 1 was used for the following cytokines: GM-CSF, 1092 IL-7, and IL-15. Single V-Plex and U-plex assays were used for IL-1RA and IL-2R α , respectively. 1093 Analysis was performed using a MESO QuickPlex SQ 120 instrument and Discovery Workbench 1094 4.0 software (Meso Scale Discovery).

1095

1096 Fluorescence microscopy of tumour FFPE sections

1097 Tumour FFPE sections were imaged using RNAscope fluorescence in situ hybridization and immunofluorescence. RNAscope combined with immunofluorescence was performed using 1098 1099 ACD's RNAscope Multiplex Fluorescent Detection Kit v2 (#323110, Advanced Cell Diagnostics, 1100 Newark, CA) combined with ACD's RNA-Protein Co-detection Ancillary Kit (#323180). The protocol was adapted from the vendor's documentation entitled, "RNAscope Multiplex 1101 1102 Fluorescent v2 Assay combined with Immunofluorescence - Integrated Co-Detection Workflow 1103 (ICW)" (MK 51-150/Rev A/ Effective Date 10/05/2020). The ISH component of the assay uses the 1104 instructions in Chapter 4 of the RNAscope Multiplex Fluorescent Reagent Kit v2 User Manual 1105 (323100-USM). Vendor instructions were followed except for the following modifications: 1) Tris 1106 Buffer Saline with Tween 20 (1X) (Cell Signaling technology, Danvers, MA) was used instead of 1107 Phosphate Buffered Saline w/0.1% Tween-20 (1X); 2) 4% formaldehyde in PBS (formed from 1108 Pierce 16% formaldehyde) was used instead of 10% Neutral Buffered Formalin; 3) Fluoromount-1109 G (SouthernBiotech, Birmingham, AL) was used instead of Prolong Gold Antifade mountant; and 1110 4) CitriSolv was used instead of xylene. Sections were stained with anti-CD3 (clone EP4426, 1111 Abcam; anti-rabbit AF647, ThermoFisherVector2A RNAScope Probe to identify neoTCR edited 1112 cells (Advanced Cell Diagnostics; Opal 570, Akoya Biosciences, Marlborough, MA), and DAPI 1113 (ACD).

1114

1115 Statistical Analysis

No formal hypothesis was tested in the Phase 1 study. Design considerations were not made with 1116 1117 regard to explicit power and type I error considerations, but were made to obtain preliminary 1118 safety, feasibility, PK, PD, and antitumor activity information in this population. Dose escalation 1119 was conducted in a traditional 3+3 design and each dose level was cleared with 3 patients treated 1120 with 3 TCRs. Measurements were taken from distinct samples. NeoTCR editing and IFNy 1121 production at product release are reported as the average of two replicate tests from the same 1122 sample. For correlations, data was first tested for normal or log-normal distributions; Pearson 1123 correlations were performed on normally distributed data, otherwise, a Spearman correlation was 1124 performed. Additional statistical tests are indicated in each figure legend.

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1126 Data Availability

The following publicly available data sets were utilised: ExAc (3.1, http://exac.broadinstitute.org), 1127 1128 dbSNP (v146, ftp://ftp.broadinstitute.org/bundle), GATK Resource Bundle (hg19/Grch37, 1129 ftp://ftp.broadinstitute.org/bundle), Human Proteome (Homo sapiens.GRCh37.75.pep.all.fa, IMGT (TCR/HLA, 3.1.17, http://www.imgt.org/), RefSeq (1052019, 1130 http://ensembl.org/), 1131 ftp://hgdownload.cse.ucsc.edu/goldenPath), TCGA (Version 1.0, https://portal.gdc.cancer.gov/), 1132 Broad Institute (hg19, ftp://ftp.broadinstitute.org/bundle). The TCR sequences from the present 1133 study are available in the article supplemental files, and the genomics data is available on 1134 reasonable request from the European Genome-Phenome Archive (EGA) repository.

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1143

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- 1154 Author Contributions
- 1155

S.P.F., K.J., T.H., Z.P., E.S., C.L.W., W.L., A.V.R., S.J.M., and A.R.R. wrote the first draft of the
manuscript. A.V.R, R.F., A.S., and T.S-S. designed, initiated, and ran the clinical study protocol.
A.V.R, A.S, D.A.B., D.Y.O., B.C., M.A., Y.YY., A.J.S, J.A.S., and S.M.L enrolled, treated, and
cared for patients on the clinical study protocol. Z.P., E.S., Y.M., K.M.C., C.S. performed and
interpreted bioinformatics analysis. M.T.B., O.D. K.H., and M.C.Y. developed or conducted work
related to peptide-HLA protein synthesis. C.L.W., S.P., M.T.B., D.A., and B.Y., B.B.Q created the

1162 process, acquired, and/or analysed data for neoTCR T cell isolation. K.J., W.L., and R.M. 1163 developed the method or performed and analysed the studies for non-viral precision T cell receptor replacement. A.J.L. developed and conducted functional cytotoxicity experiments. B.S., 1164 1165 A.C., and J.M. developed the methods, performed studies, and analysed or interpreted data for 1166 product selection. Cell and plasmid manufacturing processes were created and supervised by 1167 I.M., D.A., and L.D. Flow cytometry was performed, analysed, or supervised by S.P.F. T.H., S.G., 1168 E.Y.H., A.H., M.K., W.W., L.S., E.H., V.M., and B.P. (for the final cell product) and S.P.F., T.H., 1169 S.G., E.H., and A.H. (post-infusion). T.H. analysed serum cytokines. A.H.C.N. and Y.L. performed 1170 fluorescence microscopy and together with J.R.H. scored and interpreted the staining. S.P., 1171 M.T.B., A.F., S.J.M. D.B., J.R.H., and A.R.R. conceived the initial idea and provided scientific 1172 input for neoTCR cell isolation for personalized adoptive cell therapy. All authors proof-read and 1173 approved the final manuscript.

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1175 Competing interests

- 1176
- 1177 S.P.F., K.J., T.H., Z.P., E.S., Y.M., W.L., S.P., C.L.W., B.Y., O.D., K.H., B.S., A.C., M.T.B., I.M.,
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- 1181 S.P., M.T.B., D.B., J.R.H. and A.R. are scientific co-founders of PACT Pharma.
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Nektar, Genentech, Novartis and Instil Bio, and has received research funding from: lovance
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Therapeutics, Infinity Pharmaceuticals, Advenchen Laboratories, Idera, Neon Therapeutics,
Xencor, Compugen, PACT Pharma, RAPT Therapeutics, Immunocore, Lilly, IDEAYA
Biosciences, Tolero Pharmaceuticals, Ascentage Pharma, Novartis, Atreca, Replimune, Instil Bio,
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A.J.S. reports consulting/advising role to J&J, KSQ therapeutics, BMS, Enara Bio, Perceptive
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1206 D.A.B., A.H.C.N., Y.L., M.A., Y.Y. and S.M.L. report no conflicts of interest for this work.

1207

1209 Extended Data Figure Legends

1210

Extended Data Figure 1. NeoTCR isolation, cytotoxicity, potency, gene editing and gene 1211 1212 insertion. a) Neoantigen-specific T cell capture. b) NeoTCR specific killing of an SW620 COX6C-1213 R20Q mutant colorectal cancer cell line. Healthy donor T cells engineered to express a neoTCR 1214 from the blood of a patient with colorectal cancer targeting the COX6C-R20Q mutation, cocultured 1215 with either the parental SW620 cell line (without R20Q mutation), or with SW620-COX6C R20Q. c) Potency (IFN_Y EC₅₀) of neoTCRs isolated from the 16 patients compared with seven clinically 1216 1217 active TCRs. d) Example gene editing (as measured by staining for 2A peptide) and neoTCR 1218 binding on CD4 and CD8 T cells for the three TCRs in a manufactured cell product. When 1219 transfected into CD8 T cells, all three TCRs expressed 2A and binding of dextramer, considered 1220 CD8-independent. TCR1033 and TCR1037 showed only 2A expression but no neoTCR binding 1221 by dextramer when transfected into CD4 T cells, considered CD8-dependent. e) Targeted locus amplification (TLA) was performed on 0010 TCR445 drug product. Primers specific for transgene 1222 1223 and integrated transgene were used to amplify TLA processed genomic DNA. High coverage at 1224 the chromosome 14 integration site was observed (blue circle), indicating on-target TRAC transgene integration. A similar peak was not observed at chromosome 7, the site of TRBC 1225 1226 knockout. f) Six clinical drug products from three patients were analysed using fluorescent in-situ 1227 hybridization (FISH) for chromosomal anomalies involving chromosome 7 and chromosome 14. 1228 All abnormal signals from each drug product tested were summed and compared to the total 1229 number of abnormal signals found in unedited cells from 10 separate donors. A p value was 1230 generated using an unpaired two-tailed t-test.

1231

Extended Data Figure 2. Functionality of neoTCR engineered T cells. **a)** Intracellular cytokine staining upon activation with cognate peptide-HLA. NeoTCR T cells produce a polyfunctional cytokine profile on antigen encounter. Cells from the clinical final cell product were stimulated 1235 overnight with plate-bound peptide-HLA. Percent of CD8 cells positive for the given markers is 1236 shown. b and c) T cells were stained with Viafluor membrane bound dye, stimulated with plate-1237 bound peptide-HLA overnight, and proliferation measured 4 days later. b) Concentration-1238 dependent proliferation of individual patient products. CD3/CD28 stimulation positive control 1239 indicated by [+] and mis-match compact negative control (used at 1000 ng/mL) indicated by [-]. 1240 Y-axis inverted; increased proliferation has lower ViaFluor MFI signal due to dilution of the dye 1241 after cell division. c) Leftward shift in the Viafluor MFI indicates increasing proliferation (left). Mis-1242 matched peptide-HLA served as a negative control, and CD3/CD28 stimulation as a positive 1243 control (right).

1244

Extended Data Figure 3. Characteristics of the manufactured product. a) Phenotype of CD4+ T 1245 1246 cells (left) and CD8+ T cells (right) in incoming leukapheresis and final cell product from dosed 1247 patients. Bars represent individual NeoTCR-T cell products for each patient (up-to-3 neoTCRs per patient). For Dex+ CD4+ T cells, only products where the peptide-HLA multimer binds the 1248 1249 inserted TCR in the absence of the CD8 co-receptor have data T cell subset abbreviations are as 1250 follows: EFF (effector), EM (effector memory), TM (transitional memory), CM (central memory), MSC (memory stem cell), N (naïve). b) T cell activation and phenotypic markers in the 1251 1252 manufactured FCP. Percentage of CD4+ (top) or CD8+ (bottom) NeoTCR+ (left) or NeoTCR-1253 (right) cells in the manufactured product that express the indicated surface markers. For 1254 NeoTCR+ CD4+ T cells, only products where the dextramer binds the inserted TCR in the 1255 absence of the CD8 co-receptor have data. c) NeoTCR knock-in efficiency of the endogenous 1256 TCR improved with changes in the manufacturing process. NeoTCR+ percentages were 1257 significantly different with the different process versions (***p=0.0006 by ANOVA; v2.1 and v3.0 1258 were significantly better than process v2.0: *p = 0.0218 and **p=0.0029, respectively, by Tukey's 1259 multiple comparisons test, v2.0: n = 30, v2.1: n = 9, v3.0, n = 3). d) Cell counts of neoTCR+ cells (left) and total cells (right) in manufacturing process v2.0 (n = 30) compared to process v2.1 (n =
9) and v3.0 (n = 3). Differences not significant (ns) by one-way ANOVA.

1262

1263 Extended Data Figure 4. Engineered neoTCR T cell delivery to patients. a) Absolute lymphocyte counts according to the original conditioning chemotherapy regimen (top), or the revised 1264 1265 conditioning chemotherapy regimen (bottom). Patients treated with IL-2 combination therapy are 1266 indicated by dotted lines. b) Time to generate neoTCR T cell product for 16 dosed patients, 1267 ordered by consent date. * 0010: Due to COVID-19 shutdown in 2020 and updates to the 1268 manufacturing process, the patient underwent two apheresis and two manufactures of cell therapy 1269 products. # 0603: NeoTCR isolation was done three times for repeated attempts to find neoTCRs 1270 available for product selection. ^ 0026: Went through five separate PBMC samples before suitable neoTCRs were identified for product selection. ** 1003: Went through two manufactures of the 1271 cell therapy product. c) NeoTCR percentage (top) and counts (bottom) by dose level, separated 1272 by individual neoTCR (up to 3 per patient). Peripheral blood analysis of neoTCR cells in patients 1273 treated with dose level 1 (left), dose level 2 (centre), and dose level 3 (right). Total number of 1274 1275 neoTCR cells was calculated per µL of blood per patient. Count information was not available for 1276 all timepoints. Patients treated with IL-2 are shown with dotted lines. d) Gene editing efficiency of 1277 final cell product correlates with neoTCR+ cells detected post-infusion. Percent of neoTCR+ cells infused in each patient (left; correlation Pearson r = 0.8463, ****P<0.0001). Percent of neoTCR+ 1278 1279 cells infused per TCR (right; correlation Spearman r = 0.7475, ****P<0.0001). Area under the curve (AUC) was calculated from day 0 (pre-infusion) up to day 7. Data not shown for patient 1280 1281 0404; no day 0-7 post-infusion samples available.

1282

Extended Data Figure 5. Post-infusion analysis of T cells in peripheral blood and serum cytokines. **a)** Serum cytokine levels measured using the MSD electrochemiluminescence platform. Thirteen cytokines were measured longitudinally. Horizontal dotted lines represent the

1286 lower limit of quantification (LLOQ). IL-12 p70 (0411), IL-13 (0612), and GM-CSF (0038) were 1287 below the LLOQ for all but one patient (listed in parenthesis) and are not shown. IL-2 was detected 1288 only in patients treated with IL-2 combination therapy (0604, 0411, 0026). Samples measured but 1289 below LLOQ are entered as 0. No data for patients 0611, 0417 and 1003. b) Analysis of T cell phenotype of the final product and TCR transgenic cells recovered from blood of patients. 1290 1291 Phenotype of dextramer+ CD8+ T cells in final cell product (left bars) compared to post-dose 1292 samples at 1-2 months after infusion (right bars). Final cell product phenotype shown here is the 1293 average of all the patients' products. c) T cell activation and phenotypic markers in the 1294 manufactured product compared to month 1-2 post-dose for a subset of patients. CD4 (left two 1295 columns) and CD8 (right two columns) are shown separately.

1296

1297 Extended Data Figure 6. Longitudinal retrospective analysis of epitope persistence, somatic 1298 signatures and ctDNA data. a) Venn diagrams of patients with longitudinal screening, pre and post infusion biopsies where available showing protein altering mutation overlap and targeted 1299 1300 neoantigen persistence patterns. b) Somatic signature analysis of somatic exome mutations and 1301 their correlation with known somatic signatures in the COSMIC database. Signature 13 has 1302 previously been associated with APOBEC activity. c) Bespoke ctDNA assay for patient 506 at 1303 day -5 and day 0 timepoints showing truncal mutations in gray and targeted neoantigens in agua 1304 and blue respectively. The PREP neoantigen (blue) is detectable by ctDNA and is at lower ctDNA concentrations than predicted truncal mutations. 1305

1306

1307 Extended Data Figure 7. Tumour biopsy analyses and clinical responses. a) Retrospective
1308 analysis for HLA loss of heterozygosity (LOH, red fill) or no LOH (green fill). Each row is a neoTCR
1309 and columns are the HLA allele presenting its targeted epitope (A, B, C). TCGA study codes for
1310 the patients' tumor type are shown on the left. b) TCR and neoTCR CDR3 quantification in
1311 baseline and post-infusion biopsies. Absolute TCRα CDR3 reads from TCR assay were plotted.

BrCa: Breast Cancer, CRC: Colorectal Cancer, Mel: melanoma; DL1: Dose-level 1, DL3: Dose-1312 1313 level 3. Boxes indicate the interguartile range (IQR); centre line, median; whiskers, lowest and highest values within 1.5x IQR from the first and third guartiles, respectively. c) Schematic of the 1314 1315 neoTCR CDR3 and its flanking barcode sequence that can be used to identify endogenous TCR 1316 or neoTCR specific reads. d) TCRs with a lower IFNy EC₅₀ at lot release (left, *p=0.0265) or higher 1317 TCR affinity score (right, *p=0.0152) were more frequently found in the post-infusion biopsy. Centre line is the median; p-value by un-paired two-tailed t-test. n=22; 16 found in the tumour, 6 1318 not identified. For patient 0503, only the specific neoTCR sequence could not be determined. e) 1319 1320 Correlation of percent of neoTCR cells from imaging versus corresponding sum of neoTCR CDR3 1321 reads detected in post-infusion biopsies. Shaded grey representants the 95% confidence interval. 1322 The Pearson correlation coefficient was 0.8. f) Spider plot of the change in the sum of each 1323 patient's index lesions over time, relative to the baseline scan. No tumour assessment data for 1324 patient 0030 (skin lesions) or 0417. g) Computed tomography scans for patient 1003 at baseline (day -12, left panel) and on treatment (day 30, right panel). 1325

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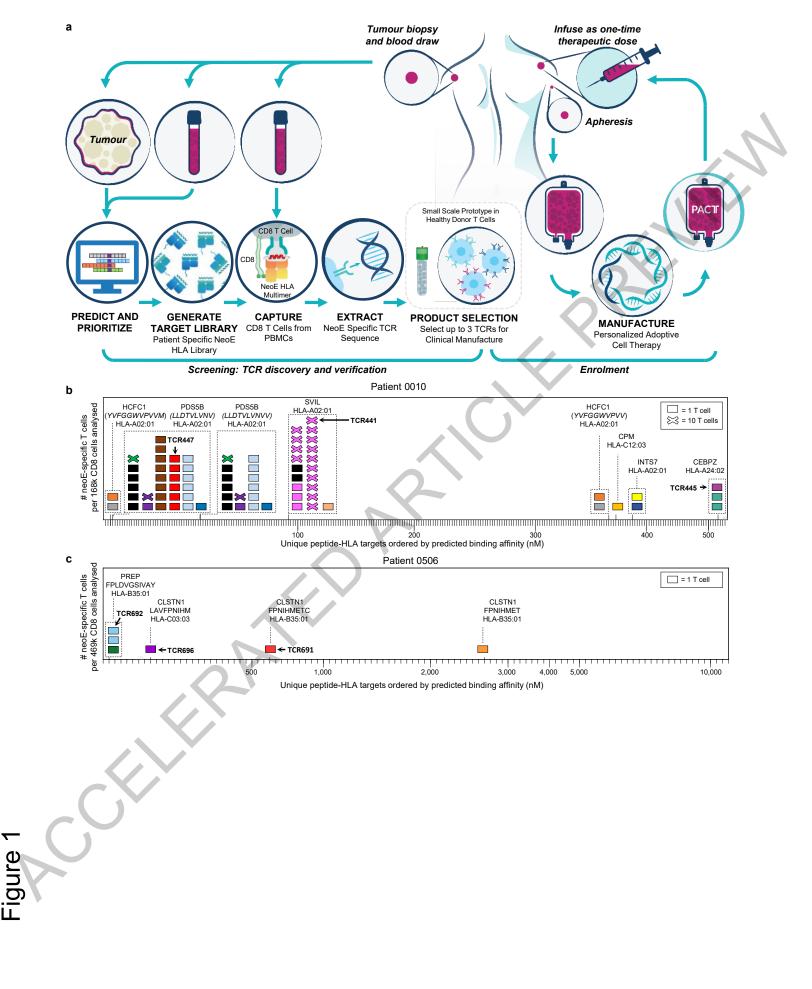
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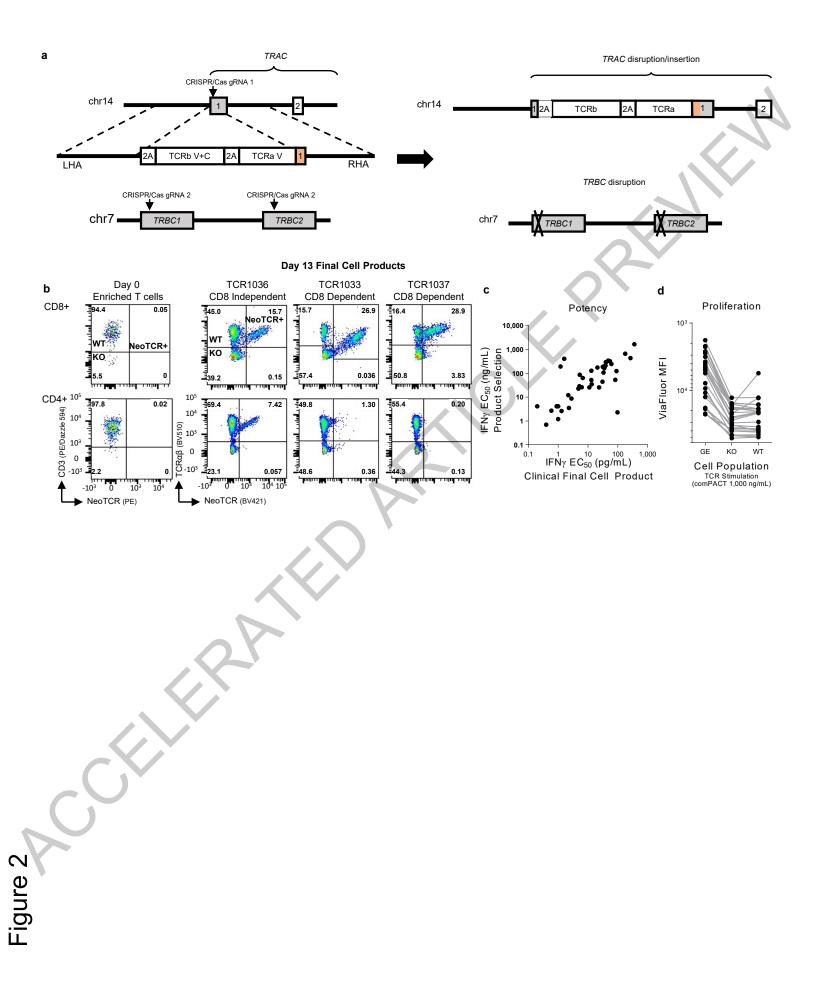
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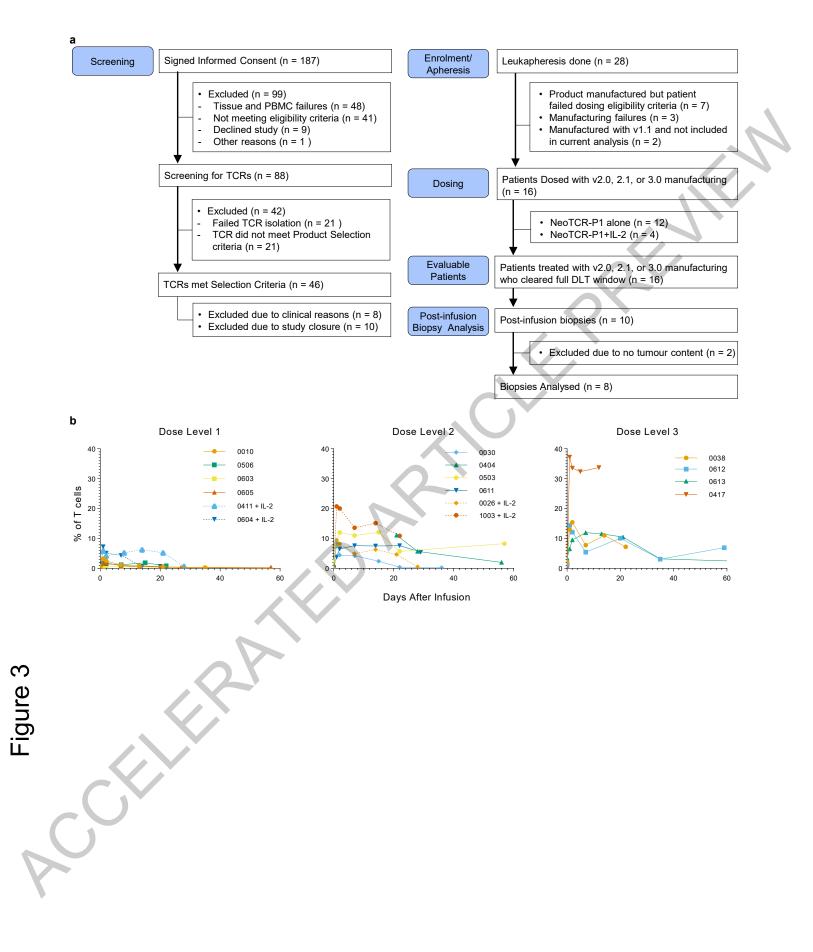
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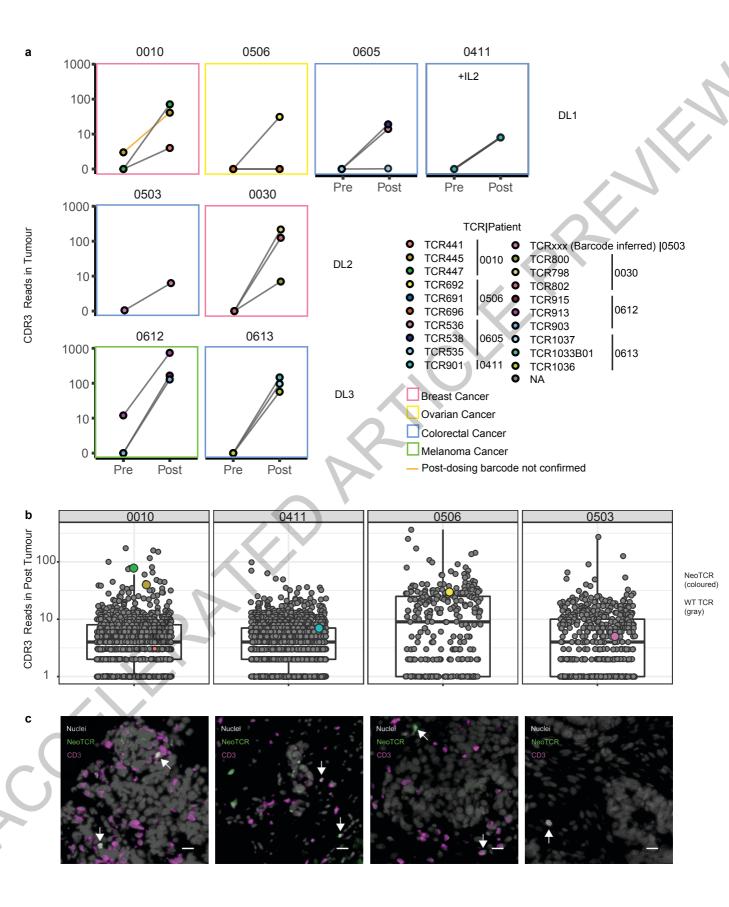
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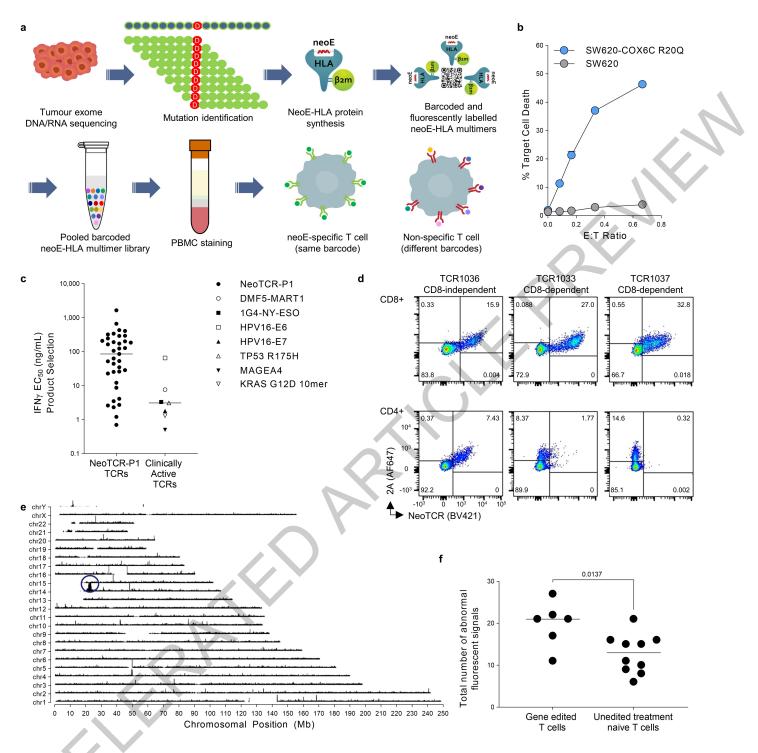
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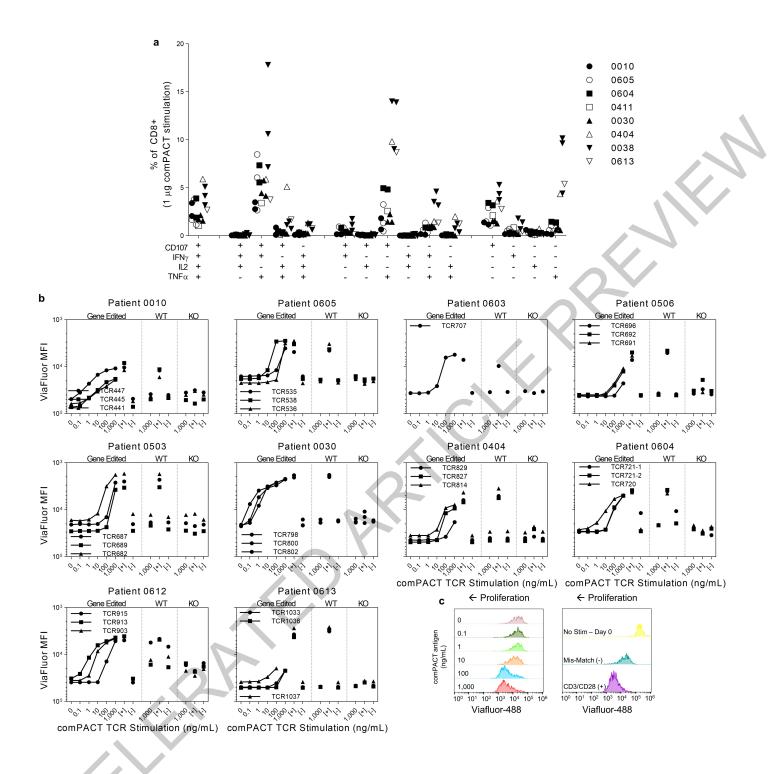




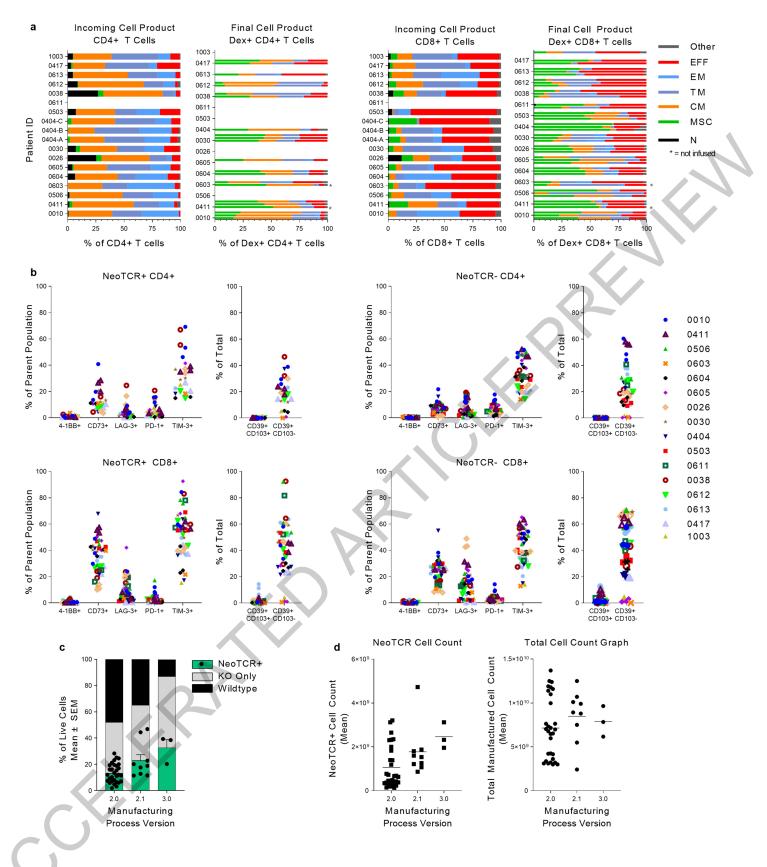




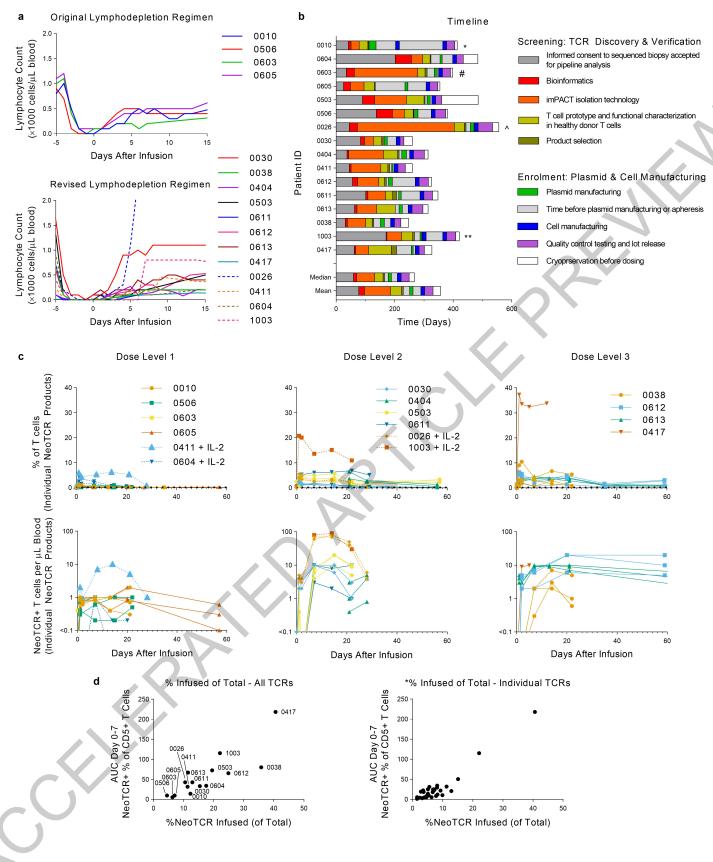
Extended Data Fig. 1



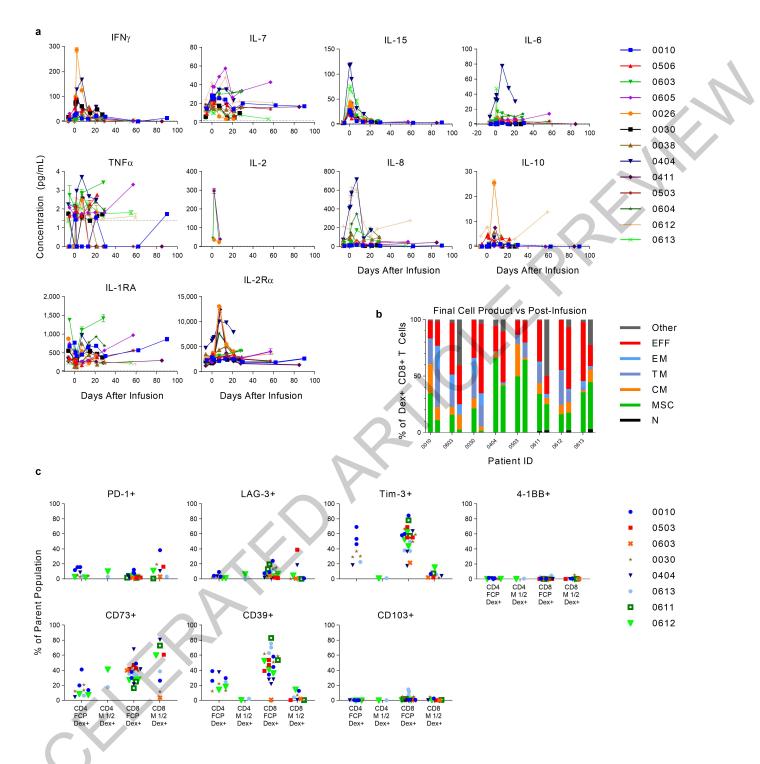
Extended Data Fig. 2



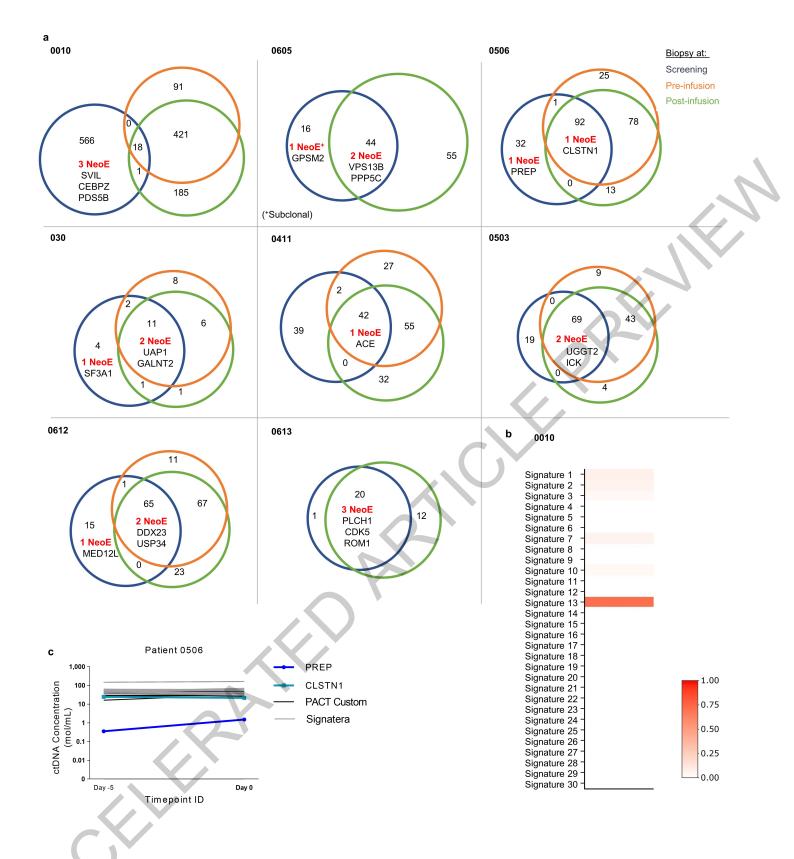
Extended Data Fig. 3



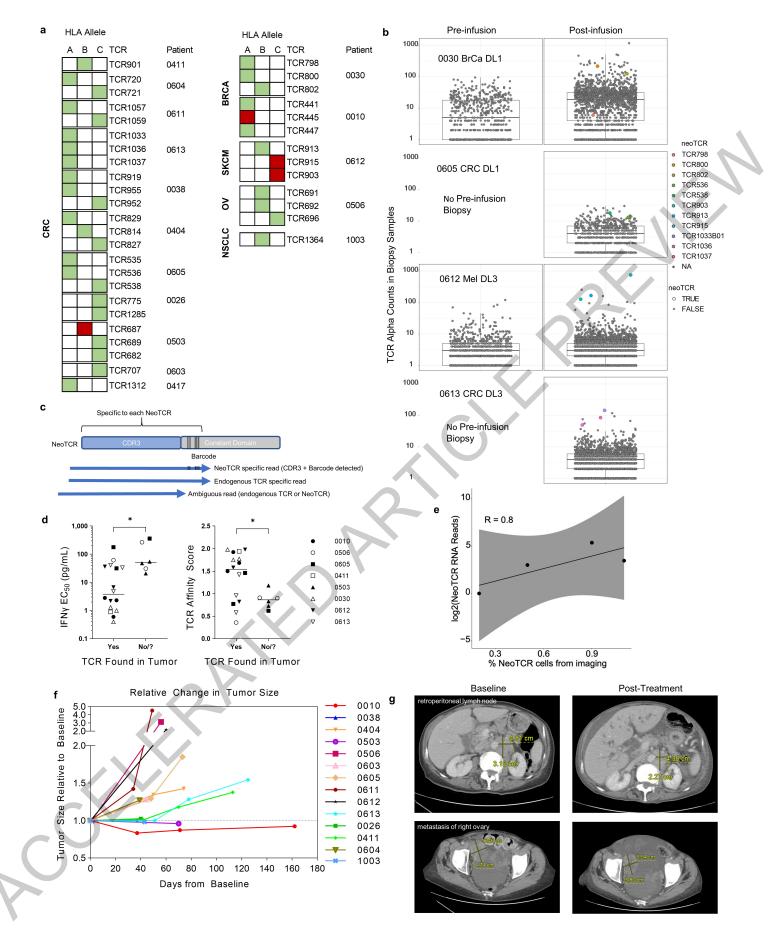
Extended Data Fig. 4



Extended Data Fig. 5



Extended Data Fig. 6



Extended Data Fig. 7

Extended Data Table 1 | Mutational load, predicted neoantigen peptide-HLA capture reagents, recognized mutations and TCR clonotypes for the 16 patients infused in the clinical trial. NSM = non-synonymous somatic mutations. TMB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB, where 35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB, where 35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB, where 35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB, where 35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB, where 35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB, where 35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB, where 35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB, where 35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB, where 35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB, where 35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB, where 35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB, where 35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB, where 35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB = tumour mutational burden and was calculated as follows: TMB=#NSM/35 MB = tumour mutational burden and was calcul is the length of the sequencing footprint.

| Dose level | Patient ID | # NSM (TMB) | # expressed mutations | HLA alleles covered by HLA library | # HLA- neoantigen capture reagents proposed | # HLA- neoantigen capture reagents produced | # recognized neoantigens † | # unique TCRs isolated [‡] | # unique TCRs confirmed § |
|---------------|---------------|-------------------|-----------------------------|--|---|---|----------------------------------|---|------------------------------------|
| | 0010 | 468 (13.4) | 236 | A*02:01, A*24:02, B*35:02, C*12:03 | 352 | 262 | 6 | 15 (11) | 6 |
| | 0605 | 60 (1.7) | 23 | A*02:01, A*24:02, C*01:01, C*03:03 | 86 | 49 | 4 | 6 | 3 |
| DL 1 | 0603 | 202 (5.8) | 88 | A*26:01, B*42:01, B*44:02, C*05:01, C*17:01 | 288 | 66 | 3 | 9 (3) | 2 |
| | 0506 | 125 (3.6) | 56 | A*24:02, B*35:01, B*46:01, C*01:02, C*03:03 | 352 | 105 | 4 | 5 | 5 |
| | 0503 | 88 (2.5) | 30 | A*24:02, B*39:01, B*52:01, C*07:02, C*12:02 | 352 | 130 | 5 | 9 (8) | 4 |
| | 0030 | 29, 31 (0.9) | 20 | A*02:01, A*11:01, B*35:01, C*04:01 | 352 | 117 | 7 | 10 (8) | 7 |
| DL 2 | 0404 | 120 (3.4) | 35 | A*01:01, A*31:01, B*08:01, B*40:01, C*03:04, C*07:01 | 352 | 94 | 3 | 7 (6) | 6 |
| | 0611 | 74 (2.1) | 25 | A*01:01, A*24:02, B*57:01, C*04:01, C*06:02 | 352 | 67 | 4 | 8 | 4 |
| | 0038 | 95 (2.7) | 34 | A*02:01, A*24:02, B*07:02, B*51:01, C*15:02, C*07:02 | 352 | 125 | 10 | 30 (16) | 9 |
|)L 3 | 0612 | 244 (7.0) | 81 | A*01:01, B*08:01, B*07:02, C*07:01, C*07:02 | 352 | 126 | 3 | 16 (14) | 3 |
| | 0613 | 43 (1.2) | 21 | A*02:01, C*07:02 | 352 | 83 | 5 | 8 | 6 |
| | 0417 | 107 (3.1) | 62 | A*02:01, A*25:01, B*15:01, B*18:01, C*03:03, C*12:03 | 352 | 147 | 11 | 17 (10) | 6 |
| | 0604 | 102 (2.9) | 30 | A*01:01, A*11:01, B*08:01, B*35:01, C*04:01, C*07:01 | 352 | 98 | 3 | 3 | 2 |
| TCR- | 0411 | 83 (2.4) | 32 | A*01:01, A*02:01, B*07:02, B*57:01, C*06:02, C*07:02 | 352 | 87 | 5 | 5 | 3 |
| P1 + IL-2 | 0026 | 89 (2.5) | 48 | A*01:01, B*08:01, C*07:01 | 352 | 35,104 | 6 | 22 (11) | 4 |
| | 1003 | 172 (4.9) | 94 | A*02:01, A*26:01, B*15:01, B*35:01, C*03:04, C*04:01 | 352 | 146 | 5 | 5 | 3 |
| edian | | 102 (2.9) | 35 | 5 | 352 | 104 | 5 | 8 | 4 |
| otal | | . , | | 34 (unique) | 5302 | 1841 | 84 | 175 (127) | 73 |

*A maximum of 352 predicted neoantigen capture reagents were provided for protein synthesis.

[†]Number of unique non-synonymous somatic mutations recognized by one or more TCRs isolated from patient PBMCs.

⁸Number of unique TCRs isolated from the patient PBMCs, number in parenthesis indicates the numbers that were passed on for confirmation, if it was less than the total number of unique TCRs isolated. ⁸Number of unique TCRs that were transfected into healthy donor cells and showed specific binding to the matched peptide-HLA and IFN₇ secretion with peptide-HLA

stimulation.

drome; SD: Stable Disease; PD: Progressive Disease; Y/N: Yes/No. CF

| ose level | Patient ID | Cell manufacturing process version | Gene | mRNA transcript per million (TPM) at screen | HLA Allele | Selected TCR ID | IFNγ EC₅₀ (at lot release, pg/mL) [*] | NeoTCR+ T cells (as % of live) [*] |
|-------------|---------------|--|--------------------|---|---------------|--------------------|---|---|
| | | | SVIL | 2.8 | A*02:01 | TCR441 | 5 | 11.6 |
| | 0010 | 2.0 | CEBPZ | 2.3 | A*24:02 | TCR445 | 1 | 12.1 |
| | | | PDS5B | 0.6 | A*02:01 | TCR447 | 101 | 16.2 |
| | | | VPS13B | 5 | A*02:01 | TCR535 | 362 | 9.3 |
| DI 4 | 0605 | 2.0 | PPP5C | 52 | A*24:02 | TCR536 | 177.9 | 7.4 |
| DL1 | | | GPSM2 [†] | 271 | C*03:03 | TCR538 | 31.6 | 6.0 |
| | 0603 | 2.0 | TENM4 | 1 | C*05:01 | TCR707 | 39.8 | 6.5 |
| | | | PREP | 24 | B*35:01 | TCR692 | 61.5 | 1.9 |
| | 0506 | 2.0 | CLSTN1 | 45 | B*35:01 | TCR691 | 30.9 | 10.2 |
| | | | CLSTN1 | 45 | C*03:03 | TCR696 | 266.4 | 17 |
| | | | UGGT2 | 61 | C*12:02 | TCR682 | 20.8 | 19.6 |
| | 0503 | 2.0 | ICK | 36 | C*12:02 | TCR689 | 48.1 | 19.3 |
| | | | ICK | 36 | B*39:01 | TCR687 | 54.6 | 21.3 |
| | | | UAP1 | 25 | A*02:01 | TCR798 | 1 | 12 |
| | 0030 | 2.0 | GALNT2 | 29 | B*35:01 | TCR802 | 1.3 | 16.1 |
| DL2 | | | SF3A1 | 5 | A*02:01 | TCR800 | 0.4 | 15.4 |
| | | | STRADA | 9 | A*01:01 | TCR829 | 38.9 | 25.2 |
| | 0404 | 2.0 | MTHFR | 51 | B*40:01 | TCR814 | 6.2 | 20.1 |
| | | | STRADA | 9 | C*07:01 | TCR827 | 13 | 28.3 |
| | 0611 | 2.0 | CDAC1 | 10 | A*24:02 | TCR1057 | 82.6 | 4.7 |
| | 0011 | 2.0 | FCGRT [†] | 1 | C*06:02 | TCR1059 | 35.7 | 18.9 |
| | | | NAALADL2 | 47 | A*02:01 | TCR919 | 1.6 | 46.8 |
| | 0038 | 2.1 | BRPF1 | 8 | A*24:02 | TCR955 | 5.3 | 44.4 |
| | | | PAPSS1 | 39 | C*07:02 | TCR952 | 1.2 | 20.2 |
| | | | DDX23 | 38 | B*08:01 | TCR913 | 2.2 | 17.6 |
| DL3 | 0612 | 2.1 | USP34 | 67 | C*07:02 | TCR903 | 6.7 | 22.9 |
| DLJ | | | MED12L | 14 | C*07:02 | TCR915 | 36.3 | 18.9 |
| | | | PLCH1 | 25 | A*02:01 | TCR1036 | 41.2 | 11.4 |
| | 0613 | 2.1 | CDK5 | 18 | A*02:01 | TCR1033 | 33.2 | 12.8 |
| | | | ROM1 | 5 | A*02:01 | TCR1037 | 4.8 | 11.6 |
| | 0417 | 3.0 | RASA3 | 125 | A*02:01 | TCR1312 | 19.2, 25.8 | 40.0, 38.0 |
| | 0604 | 2.0 | WDFY3 | 14 | A*11:01 | TCR720 | 5.2 | 11.2 |
| | 0004 | 2.0 | MAN2A2 | 132 | C*04:01 | TCR721 | 90.9 | 23.2, 24.6 [‡] |
| oTCR-P1 + | 0411 | 2.0 | ACE | 44 | B*57:01 | TCR901 | 0.9 | 13.5, 8.0, 3.2 [‡] |
| IL-2 | 0026 | 2.0 | ETNK1 | 159 | C*07:01 | TCR1285 | 23.7, 11.2 | 5.7, 7.1 |
| | | | PRCC | 85 | C*07:01 | TCR775 | 13.4 | 7.1 |
| | 1003 | 3.0 | KBTBD3 | 18 | B*35:01 | TCR1364 | 98.7 [§] | 20.3 |

Extended Data Table 2 | Targeted neoantigen, manufacturing process, neoTCR transfection efficiency and function for each clinical infused product to the 16 patients

* Multiple values listed when more than one cell product lot was manufactured with the same TCR.

[†] Predicted subclonal mutation.

⁵ Ich not infused; intended dose level reached without infusion. IFNγ measured using the ELLA Simple Plex; all other reported values measured by IFNγ ELISA

| Dose level | Patient ID | Age | Cancer | # Prior regimens | # TCRs | Conditioning regimen | Total NeoTCR+ cell dose | Any AEs ≥ grade 3 and SAEs | TCR-related AEs | Response |
|------------|------------|-----|------------|---------------------|--------|--|----------------------------------|--|-------------------|--|
| | 0010 | 38 | HR+ Breast | 7 | 3 | Cy 300 mg/m ² x3d Flu 30 mg/m ² x3d | 4 × 10 ⁸ | G3 neutropenia | | SD (target lesions ↓ 17%) for 4m |
| DL 1 | 0605 | 53 | MSS-CRC | 4 | 3 | Cy 300 mg/m ² x3d Flu 30 mg/m ² x3d | 4 × 10 ⁸ | SAE D52 small bowel obstruction | | PD |
| | 0603 | 65 | MSS-CRC | 4 | 1 | Cy 300 mg/m ² x3d Flu 30 mg/m ² x3d | 2 × 10 ⁸ | COVID-19 + pneumonia | | PD |
| | 0506 | 70 | Ovarian | 6 | 3 | Cy 300 mg/m ² x3d Flu 30 mg/m ² x3d | 4 × 10 ⁸ | G3 neutropenia | | PD |
| | 0503 | 48 | MSS-CRC | 9 | 3 | Cy 600 mg/m ² x3d Flu 30 mg/m ² x4d | 1.3 × 10 ⁹ | G4 neutropenia SAE: UTI D74 | | PD |
| | 0030 | 45 | HR+ Breast | 5 | 3 | Cy 600 mg/m ² x3d Flu 30 mg/m ² x4d | 1.3 × 10 ⁹ | G4 neutropenia | | SD at D28 and D56 |
| DL 2 | 0404 | 47 | MSS-CRC | 7 | 3 | Cy 600 mg/m ² x3d Flu 30 mg/m ² x4d | 1.3 × 10 ⁹ | G4 neutropenia SAE G3 Peri-hepatic hematoma | | PD |
| | 0611 | 44 | MSS-CRC | 5 | 2 | Cy 600 mg/m ² x3d Flu 30 mg/m ² x4d | 9 × 10 ⁸ | G2 Headaches week 2 | | PD |
| | 0038 | 39 | MSS-CRC | 2 | 3 | Cy 600 mg/m ² x3d Flu 30 mg/m ² x4d | 4 × 10 ⁹ | G4 neutropenia | | PD |
| | 0612 | 47 | Melanoma | 3 | 3 | Cy 600 mg/m ² x3d Flu 30 mg/m ² x4d | 4 × 10 ⁹ | G4 neutropenia | | PD |
| DL 3 | 0613 | 36 | MSS-CRC | 3 | 3 | Cy 600 mg/m ² x3d Flu 30 mg/m ² x4d | 4 × 10 ⁹ | SAE: G4 febrile neutropenia | G1 CRS | SD at D28 and D56 |
| | 0417 | 38 | MSS-CRC | 4 | 1 | Cy 600 mg/m ² x3d Flu 30 mg/m ² x4d | 5.4 × 10 ⁹ | SAE: G4 Hyponatremia SAE: G5 Malignant neoplasm progression | | No post- baseline assessment |
| | 0604 | 40 | MSS-CRC | 5 | 2 | Cy 600 mg/m ² x3d Flu 30 mg/m ² x4d | 4 × 10 ⁸ + IL-2 | G3 neutropenia and febrile neutropenia; SAE: G3 pancreatitis D40 | | PD |
| eoTCR-P1 + | 0411 | 58 | MSS-CRC | 5 | 1 | Cy 600 mg/m ² x3d Flu 30 mg/m ² x4d | 7.5 × 10 ⁸ + IL-2 | G4 neutropenia | | SD at D28 and D56 |
| IL-2 | 0026 | 58 | MSS-CRC | 5 | 2 | Cy 600 mg/m ² x3d Flu 30 mg/m ² x4d | 1.3 × 10 ⁹ + IL-2 | G4 neutropenia | | PD |
| | 1003 | 68 | NSCLC | 3 | 1 | Cy 600 mg/m ² x3d Flu 30 mg/m ² x4d | 1.96 × 10 ⁹ + IL-2 | G3 encephalopathy | G3 encephalopathy | SD at D28 |

Flu 30 mg/m² x4d IL-2
MSS-CRC: Microsatellite Stable Colorectal Cancer; HR: Hormone Receptor; G: Grade; SAE: Serious Adverse Event; CRS: Cytokine Release Syndrome; SD: Stable Disease; PD: Progressive Disease; Y/N: Yes/No.

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nature portfolio

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Reporting Summary

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Statistics

| For | all st | atistical analyses, confirm that the following items are present in the figure legend, table legend, main text, or Methods section. |
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| n/a | Cor | firmed |
| | \boxtimes | The exact sample size (n) for each experimental group/condition, given as a discrete number and unit of measurement |
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| | | The statistical test(s) used AND whether they are one- or two-sided Only common tests should be described solely by name; describe more complex techniques in the Methods section. |
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| | | For null hypothesis testing, the test statistic (e.g. <i>F</i> , <i>t</i> , <i>r</i>) with confidence intervals, effect sizes, degrees of freedom and <i>P</i> value noted <i>Give P values as exact values whenever suitable</i> . |
| \boxtimes | | For Bayesian analysis, information on the choice of priors and Markov chain Monte Carlo settings |
| \boxtimes | | For hierarchical and complex designs, identification of the appropriate level for tests and full reporting of outcomes |
| | \boxtimes | Estimates of effect sizes (e.g. Cohen's d, Pearson's r), indicating how they were calculated |
| | | Our web collection on <u>statistics for biologists</u> contains articles on many of the points above. |
| | | |

Software and code

Policy information about availability of computer code

| Data collection | Flow cytometry data was collected using BD FACSDiva (V8.0.3) and analysed with FlowJo (V10.7.1 or V10.8.1), or FCS Express (V6.6.21.0). Serum cytokine analysis was performed using a MESO QuickPlex SQ 120 instrument and Discovery Workbench 4.0 software. |
|-----------------|---|
| Data analysis | The following software was used for analysis (Version and or location listed in parenthesis): OptiType (1.3.4), netMHCpan (3.0, 4.0, or 4.1 as indicated in the methods), RSEM (1.3.3), STAR (2.7.6a), MiXCR (2.1.3), VarDictJava (1.8.2), VarScan (2.4.4), Sentieon (BWA, 201911.01), Sequenza (3.0), Strelka2 (2.9.10), Mutect (3.1-0-g72492bb), MuTect2 (4.1.8.1), pyClone (0.13.1), Ensembl (release 101, http://ensembl.org/), GraphPad Prism (9.4.1). |

For manuscripts utilizing custom algorithms or software that are central to the research but not yet described in published literature, software must be made available to editors and reviewers. We strongly encourage code deposition in a community repository (e.g. GitHub). See the Nature Portfolio guidelines for submitting code & software for further information.

Policy information about availability of data

All manuscripts must include a data availability statement. This statement should provide the following information, where applicable:

- Accession codes, unique identifiers, or web links for publicly available datasets
- A description of any restrictions on data availability
- For clinical datasets or third party data, please ensure that the statement adheres to our policy

The following publicly available data sets were utilised: ExAc (3.1, http://exac.broadinstitute.org), dbSNP (v146, ftp://ftp.broadinstitute.org/bundle), GATK Resource Bundle (hg19/Grch37, ftp://ftp.broadinstitute.org/bundle), Human Proteome (Homo_sapiens.GRCh37.75.pep.all.fa, http://ensembl.org/), IMGT (TCR/HLA, 3.1.17, http://www.imgt.org/), RefSeq (1052019, ftp://hgdownload.cse.ucsc.edu/goldenPath), TCGA (Version 1.0, https://portal.gdc.cancer.gov/), Broad Institute (hg19, ftp://ftp.broadinstitute.org/bundle). The TCR sequences from the present study are available in the article supplemental files, and the genomics data is available on reasonable request from the European Genome-Phenome Archive (EGA) repository (Accession number: XXX)

Human research participants

Policy information about studies involving human research participants and Sex and Gender in Research.

| Reporting on sex and gender | Patients were screened and enrolled on this study irrespective of their sex/gender. Any data regarding a patient's sex and gender was collected and provided to the sponsor by the treating physician and PI for the study at each site. No sex- or gender-based analysis has been conducted in this small dataset. |
|-----------------------------|---|
| Population characteristics | Provided in extended data table 3. |
| Recruitment | Patients were recruited across 9 clinical investigational sites. Given the phase 1 nature and complexity of the study, the sites were limited to the United States of America. There were no biases introduced and patients were screened on a first-come first-serve basis based on meeting the protocol inclusion-exclusion criteria. No protocol waivers were allowed on this study. |
| Ethics oversight | The trial was conducted in accordance with the principles of the Declaration of Helsinki. The trial protocol and statistical analysis plan were designed in a collaboration between the sponsor (PACT Pharma Inc.) and the authors. The protocol was approved by the institutional review board from each clinical site enrolling patients: City of Hope, Duarte California; University of California Los Angeles, Los Angeles California; University of California, Irvine Medical Center, Orange, California; University of California, Davis, Sacramento California; University of California, San Francisco, San Francisco California; Northwestern University Medical Center, Chicago Illinois; Memorial Sloan Kettering Cancer Center, New York, New York; Tennessee Oncology, Nashville, Tennessee; and Fred Hutchinson Cancer Research Center, Seattle, Washington. |

Note that full information on the approval of the study protocol must also be provided in the manuscript.

Field-specific reporting

Please select the one below that is the best fit for your research. If you are not sure, read the appropriate sections before making your selection.

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Behavioural & social sciences

Ecological, evolutionary & environmental sciences For a reference copy of the document with all sections, see nature.com/documents/nr-reporting-summary-flat.pdf

Life sciences study design

All studies must disclose on these points even when the disclosure is negative.

| Sample size | Up to approximately 76 evaluable participants will be enrolled into the Initial Phase. The planned enrollment for the Expansion Phase study is potentially up to 112 participants, depending on the number and size of the cohorts. The total anticipated enrollment in this study is approximately 9–188 participants. Three to 12 participants will be enrolled into each dose level cohort in the Phase 1a portion of the study. If the study proceeds to the dose-expansion basket cohorts in the Phase 1a, up to 40 additional participants may be enrolled (up to 20 each in the TCR alone and TCR + IL-2 baskets). The dose-escalation stage sample size was based on the probability of not observing any DLTs in 3 participants, and the probability of observing fewer than 2 DLTs in 6 participants for underlying DLT rates during the dose-escalation stage. |
|-----------------|---|
| Data exclusions | Patients went through a screening process for TCR selection and cell therapy manufacture. Patients were excluded from dosing if they failed eligibility criteria or if a product could not be manufactured. Only products manufactured using version 2.0 or 2.1 were included in the analysis. No primary or secondary endpoint data were excluded from the analysis. All available manufactured products and PBMCs were analysed. Two post-infusion biopsies were excluded from analysis due to insufficient tumour content. |
| Replication | The NeoTCR-P1 is an autologous TCR therapy manufactured with the patients' own PBMCs and their own unique TCRs. The cell therapies |

| Replication | were manufactured with up to three independent lots consisting of three unique TCRs or, in some cases, a single TCR, giving technical replicates of the manufacturing for an individual patient. The study included treatment of human participants with a personalized NeoTCR-P1 cell therapy product. Due to the disease characteristics and personalized nature of the cell therapy product, replication of the findings may vary depending on the disease state and the neoTCR selected for infusion. Flow cytometry experiments to analyse the final cell product or post-infusion PBMC samples were performed in duplicate, if there were enough cells available, and all attempts at replication were successful. |
|---------------|--|
| Randomization | This was a Phase 1a, open label, 3+3 dose escalation trial design trial design to evaluate NeoTCR-P1 infused as a single agent without or with IL-2, or in combination with nivolumab. Patients were not randomized and were enrolled at the maximum open dose level during the trial, if enough cells were manufactured to meet a given dose level. Once a dose level was cleared, patients and their treating physician had the option to administer the NeoTCR-P1 cell therapy in combination with IL-2. No patients were treated in combination with nivolumab. |
| Blinding | This was a single arm, open label trial design, thus blinding is not relevant to the study. |

Reporting for specific materials, systems and methods

We require information from authors about some types of materials, experimental systems and methods used in many studies. Here, indicate whether each material, system or method listed is relevant to your study. If you are not sure if a list item applies to your research, read the appropriate section before selecting a response.

| Ma | terials & experimental systems | Me | thods |
|----------|--------------------------------|-------------|------------------------|
| n/a | Involved in the study | n/a | Involved in the study |
| | X Antibodies | \boxtimes | ChIP-seq |
| | Eukaryotic cell lines | | 🔀 Flow cytometry |
| \times | Palaeontology and archaeology | \boxtimes | MRI-based neuroimaging |
| \times | Animals and other organisms | | |
| | 🔀 Clinical data | | |
| \times | Dual use research of concern | | |

Antibodies

| Antibodies used | The antibodies used for flow cytometry are detailed in Supplementary Information Table 4. Tumour tissue sections were stained with anti-CD3 (clone EP4426, Abcam; anti-rabbit AF647, ThermoFisher), Vector2A RNAScope Probe to identify neoTCR edited cells (Advanced Cell Diagnostics; Opal 570, Akoya Biosciences, Marlborough, MA), and DAPI (ACD). |
|-----------------|--|
| Validation | Antibodies used for flow cytometry were validated using human PBMCs, isolated T cells, or activated T cells (activated with TransACT for 48-72 h). Antibodies were titrated and fluorescence minus one (FMO) controls were created to set gates for positive events. For tumour tissue staining, anti-CD3 was protein A purified and validated for IHC on Jurkat (Human T cell leukemia T lymphocytes) cells by the manufacturer (Abcam). The Vector2A RNAScope Probe was validated on FFPE neoTCR edited cell pellets, with un-edited cells used as a negative control. |

Eukaryotic cell lines

| Policy information about <u>cell lines and Sex and Gender in Research</u> | | | | | | | |
|---|--|--|--|--|--|--|--|
| Cell line source(s) | The SW620 colorectal cancer cell line was purchased from ATCC, and a master cell bank was generated. Cells were transduced to express nucLight red, and further edited to insert an R20Q point mutation in COX6C. Cells were again expanded to generate additional working cell banks. | | | | | | |
| Authentication | Genotyping confirmed editing but cell lines were not further authenticated. | | | | | | |
| Mycoplasma contamination | All cell banks tested negative for mycoplasma. | | | | | | |
| Commonly misidentified lines (See <u>ICLAC</u> register) | Name any commonly misidentified cell lines used in the study and provide a rationale for their use. | | | | | | |

Clinical data

Policy information about <u>clinical studies</u>

All manuscripts should comply with the ICMJE guidelines for publication of clinical research and a completed CONSORT checklist must be included with all submissions.

| Clinical trial registration | NCT03970382 |
|-----------------------------|---|
| Study protocol | The study protocol, "A Phase 1a/1b, Open-label First-in-human Study of the Safety, Tolerability and Feasibility of Gene-edited Autologous NeoTCR-T Cells (NeoTCR-P1) Administered as a Single Agent or in Combination With Anti-PD-1 to Patients With Locally Advanced or Metastatic Solid Tumors" is provided in the Supplemental Information Files. |
| Data collection | From December 2019 to February 2022, the study was active at 9 investigational sites: City of Hope, Duarte California; University of |

Data collection

California Los Angeles, Los Angeles California; University of California, Irvine Medical Center, Orange, California; University of California, Davis, Sacramento California; University of California, San Francisco, San Francisco California; Northwestern University Medical Center, Chicago Illinois; Memorial Sloan Kettering Cancer Center, New York, New York; Tennessee Oncology, Nashville, Tennessee; and Fred Hutchinson Cancer Research Center, Seattle, Washington. All samples were collected at the patients' investigational site. Data was analysed at PACT Pharma.

Outcomes

Primary Outcomes:
1. Incidence of adverse events as defined as Dose limiting toxicity (DLT): DLT was defined as protocol-defined adverse events that occur within 28 days following infusion of Neo-TCR-P1 administered as a single agent or in combination with nivolumab.
2. Number of participants with adverse events as a measure of safety and tolerability of NeoTCR-P1 or NeoTCR-P1 in combination with nivolumab: Toxicity was classified and graded according to the National Cancer Institute's Common Terminology Criteria for Adverse Events (CTCAE, version 5.0). Cytokine release syndrome (CRS) and neurotoxicity associated with NeoTCR-P1 will be graded according to ASBMT consensus grading.

3. Maximum Tolerated Dose (MTD) of NeoTCR-P1: The MTD was defined as the highest dose with an observed incidence of DLT in no more than one out of six patients treated at a particular dose level.

4. Feasibility of manufacturing NeoTCR-P1: Percent of screened patients that enrolled on study and receive NeoTCR-P1 Secondary Outcomes:

1. Maximum concentration of NeoTCR-P1 (Cmax) in the peripheral blood

2. Area-under-the-concentration-vs-time-curve (AUC) in the peripheral blood

3. Persistence of NeoTCR-P1 in samples of peripheral blood

4. Objective Response Rate (ORR) in participants with solid tumors following infusion of NeoTCR-P1 as a single agent or in combination with nivolumab: ORR was defined as Complete Response (CR) or Partial Response (PR) per RECIST v1.1, as determined by the investigator

5. Duration of Response mediated by NeoTCR-P1 administered as a single agent or in combination with nivolumab to participants with solid tumors: Duration of response, defined as time from the first occurrence of a documented objective response to the time of relapse or death from any cause

6. Progression free survival (PFS) in participants with solid tumors following infusion of NeoTCR-P1 as a single agent or in combination with nivolumab: PFS will be defined from date of administration of NeoTCR-P1 cell infusion to the date of disease progression per the RECIST v1.1 or death as a result of any cause. Subjects who do not meet criteria for progression by the analysis data cut-off date will be censored at their last evaluable disease assessment date

7. Overall survival (OS) in participants with solid tumors following infusion of NeoTCR-P1 as a single agent or in combination with nivolumab: OS will be measured from the date of administration of NeoTCR-P1 to the date of death. Subjects who have not died by the analysis data cut-off date will be censored at their last date of contact.

Flow Cytometry

Plots

Confirm that:

The axis labels state the marker and fluorochrome used (e.g. CD4-FITC).

🔀 The axis scales are clearly visible. Include numbers along axes only for bottom left plot of group (a 'group' is an analysis of identical markers).

All plots are contour plots with outliers or pseudocolor plots.

A numerical value for number of cells or percentage (with statistics) is provided.

Methodology

| Sample preparation | Peripheral Blood Mononuclear Cells (PBMCs) were collected in ACD or CPT tubes and shipped to Precision for Medicine for PBMC isolation and cryopreservation prior to analysis. Apheresis products were obtained from the patient at the study site and shipped overnight to the study sponsor. After cell manufacture, aliquots of T cells were cryopreserved prior to analysis. |
|---------------------------|---|
| Instrument | Flow cytometry data was collected on an Attune NxT, or cell sorted using a FACS Aria III. |
| Software | Flow cytometry data was collected using BD FACSDiva (V8.0.8) and analysed with FlowJo (V10.7.1 or V10.8.1), or FCS Express (V6.6.21.0). |
| Cell population abundance | NeoTCR T cells were single-cell sorted from patient PBMCs using two color staining for neoantigen-HLA multimer CD8+ cells, and were detected at a frequency of >1 in 300,000 CD8 T cells. In the incoming cell product, enriched T cells were greater than 90% pure (determined by CD4 and CD8 staining). NeoTCR+ T cell abundance varied with the starting sample but ranged from 1.9-46.8% of live cells in the final cell product and 0.04-37.3% of live cells in post-infusion PBMCs. |
| Gating strategy | Gating strategies are shown in Supplementary Information Section. Fluorescence minus one (FMO) controls were created to set gates for positive events. |

X Tick this box to confirm that a figure exemplifying the gating strategy is provided in the Supplementary Information.